CHANNEL ISLANDS HORSERACING AUTHORITY – (F) RACE ADMINISTRATION MANUAL

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PART 1 – GENERAL INTRODUCTORY

1. Dates of the racing season

1.1. The Channel Islands racing season commences on 1 January and ends on 31 December.

2. Meaning of race

2.1. In this Manual, race

2.1.1. means a Total Race Value Race, Private Sweepstakes or Match race run under these Rules or a race run under the Rules of any Recognised Racing Authority, but

2.1.2. does not include any Arabian horse race or point-to-point steeple chase.

2.2. For the purposes of Paragraph 2.1.1

2.2.1. **Match** is a race between horses the property of two different Owners on terms agreed by them and to which no money or other prize is added;

2.2.2. **Private Sweepstakes** is a Sweepstakes to which no money is added and which has not been publicly advertised before closing;

2.2.3. **Total Race Value Race** is a Sweepstakes in which the Stakes go to the winner or placed horses, in addition to any Prize Money guaranteed by the racecourse which shall not be less than the advertised value of the race.

2.3. In this Rule **Sweepstakes** is a race in which the Stakes, subscription, or other contribution by Owners go to the winner or placed horses, and any such race is still a Sweepstakes when money or other prize is added.

3. General race categories

3.1. A **Handicap Race** is a race in which the weights for the horses are allotted by the Handicapper in accordance with Part 8 for the purpose of equalising their chances of winning.

3.2. A **Weight-for-Age** race is a race which is not a Handicap.

3.3. A **Selling Race** is a race in which the winner of the race must be offered for sale by auction

3.4. A race is a **Novelty Race** if

3.4.1. it involves a restricted number of runners, other than the numbers determined by the Safety Factor and Maximum Figure, or

3.4.2. it is an invitation race, either in regard to horses or riders.

4. Categories of Flat Race

4.1. A flat race is a Conditions Stakes if it is a flat race which is none of the following

4.1.1. a Handicap Race,
4.1.2. a Classified Stakes, or
4.1.3. a race governed by Selling provisions.

4.2. A flat race is a Classified Stakes if it is a Weight-for-Age Race which is restricted to horses which have been awarded Handicap Ratings at or below a figure specified in the conditions of the race.

5. Categories of steeple chases, hurdle races and national hunt flat races

5.1. A Jump Race is a Conditions Race if it is a Jump Race which is none of the following

5.1.1. a Handicap Race,
5.1.2. a Classified Race, or
5.1.3. a race governed by Selling provisions.

5.2. A Jump Race is a Maiden Race if it is confined to Maiden Horses.

5.3. A Jump Race is a Novice Race if is confined to Novice Horses.

5.4. A Jump Race is a Classified Race if

5.4.1. it is a Weight-for-Age Jump Race which is restricted to horses which have been awarded Handicap Ratings at or below a figure specified in the conditions of the race, and
5.4.2. it is not a Maiden Race or Novice Race.

6. Maiden horses

6.1. A horse is a Maiden, in relation to a flat race, if it has never won a flat race in any country apart from

6.1.1. a National Hunt Flat Race in the Channel Islands or Great Britain,
6.1.2. an Irish national hunt flat race,
6.1.3. a French AQPS Flat Race,
6.1.4. an Arabian horse race in Great Britain,
6.1.5. a Match, or
6.1.6. a Private Sweepstakes.

6.2. A horse is a Maiden, in relation to a steeple chase or hurdle race, if it has never won a steeple chase or hurdle race in any country, apart from

6.2.1. a Match,

6.2.2. a Private Sweepstakes, or
6.2.3. a steeple chase at a point-to-point meeting.

7. Novice horses

7.1. In respect of any steeple chase a horse is a Novice in any racing season if the horse has not won a steeple chase before the 1st of January of that season.

7.2. In respect of any hurdle race a horse is a Novice in any racing season if the horse has not won a hurdle race before the 1st of January of that season.

8. Definitions supplementing Rules 2 to 7

8.1. These definitions apply to this Manual, not solely to Rules 2 to 7.

8.1.1. **French AQPS Flat Race** means a race run in France on or after January 1st, 2007 which is restricted to AQPS bred horses;
8.1.2. **National Hunt Flat Race** means a race for horses on the flat which is run according to the weights allocated for a Jump Race;
8.1.3. **Jump Race** means a steeple chase, hurdle race or National Hunt Flat Race;
8.1.4. **Owners' Prize Money Pool**, in respect of a race, means the Stakes held by the Stakeholder for that race;
8.1.5. **Prize Money**, in respect of a race, means
8.1.5.1. the Total Prize Fund, or
8.1.5.2. a combination of the Stakes and any Money Added to Stakes, and
8.1.5.3. any additional sums contributed by the racecourse to meet the Guaranteed Minimum Value of a race;
8.1.6. **Total Prize Fund** means the total value of Prize Money set out in the conditions of a race.

PART 2 – FIXTURES AND RACECOURSES

9. General

9.1. Part (A)3 prohibits any authorised race meeting being held in the Channel Islands at a place or on a track which is not licensed by the Authority for the purpose.

9.2. This Part

9.2.1. sets out the powers of the Authority in relation to fixtures,
9.2.2. makes provision in connection with the licensing of racecourses, and
9.2.3. imposes specific requirements that have effect in connection with the licensing of racecourses.

9.3. Nothing in this Part restricts

9.3.1. the Authority's general powers under Part (A)2, or
9.3.2. its general powers as to licences which are specified in Part (A)3.

10. Powers of the Authority in relation to fixtures

10.1. A Racecourse Managing Executive will request dates for its seasonal fixtures from the Authority.

10.2. The Authority will then approve or decline the dates requested by the Racecourse Managing Executive.

10.3. The Authority may

10.3.1. cancel any fixture or any advertised race or races,
10.3.2. make any alteration in the date of any meeting,
10.3.3. supervise the programme of any meeting or the conditions of any race,
10.3.4. make such alterations as it considers appropriate to any programme or race conditions,
10.3.5. by the time determined in accordance with Rule 50 for making a declaration to run, elect not to divide a race or races that had been advertised as being subject to division, or alternatively, elect to divide a race that had not been advertised as being subject to division.

10.4. No division under Paragraph 10.3.5 may be ordered without the consent of the Racecourse Managing Executive concerned.

10.5. The Authority may

10.5.1. authorise point-to-point fixtures and fixture fees,

10.5.2. cancel any such fixture, and
10.5.3. make such alterations to any such fixture as it considers necessary.

11. Fixture fees

11.1. Where a fixture is granted to a race meeting, the Racecourse Managing Executive must pay to the Authority when the fixture takes place fees of such amount as the Authority may from time to time decide.

11.2. Unless the Authority directs otherwise, fees payable under Paragraph 11.1 remain payable even if the fixture, or any part of it, is abandoned.

12. Racecourse licences

12.1. A racecourse licence may be granted by the Authority to such Person as it considers appropriate and subject to such conditions and restrictions as it considers appropriate.

12.2. The Person to whom a licence is granted is referred to in these Rules as a Racecourse Managing Executive.

12.3. An application for a racecourse licence must be made using the Prescribed form.

12.4. No licence may be granted unless the applicant meets such requirements as the Authority may from time to time determine.

12.5. A licence must be applied for annually using the Prescribed form.

12.6. A licence may be renewed subject to such restrictions or conditions imposed by the Authority as it considers appropriate and these may differ from those previously imposed.

13. Circumstances in which a racecourse licence ceases to be valid

13.1. A racecourse licence shall immediately cease to be valid if

13.1.1. it expires and is not renewed,
13.1.2. it is withdrawn by the Authority, or
13.1.3. it is suspended by the Authority.

14. Duties of racecourse managing executive

14.1. Any licence which is granted is subject to

14.1.1. the requirement that such facilities as are reasonably required for the effective provision of integrity technical services must be afforded on the racecourse, and

14.1.2. such other restrictions or conditions as the Authority consider appropriate.

14.2. Subject to Paragraph 14.4, a Racecourse Managing Executive must

14.2.1. comply with the requirements of this Manual,
14.2.2. comply with the requirements of any other Manual,
14.2.3. comply with the requirements of the General Instructions, and
14.2.4. have regard to any directions or instructions as to the operation of these Rules, which apply to the executive or have effect in relation to racecourses.

14.3. Subject to Paragraph 14.4, a Racecourse Managing Executive shall be taken to have contravened a requirement imposed on the executive by this Rule in any case where the executive fails to take all reasonably practicable steps to ensure that

14.3.1. all Persons who are employed at the racecourse, or who provide services in connection with it, act at all times in accordance with these Rules,
14.3.2. the Authority is provided within a reasonable time with such information as it requires,
14.3.3. the Inspector of Courses is permitted to carry out such inspections as he reasonably requires,
14.3.4. the course and obstacles are maintained in good condition,
14.3.5. the course is properly measured and marked,
14.3.6. the course is fit for racing to take place on a raceday, to the reasonable satisfaction of the Stewards on the day, before racing commences and on a race-by-race basis thereafter;
14.3.7. Disqualified Persons are, at the request of the Authority or the Stewards, excluded or ejected from all stands, rooms, enclosures and other places used for the purposes of the meeting,
14.3.8. such Persons as the Authority or the Stewards may request are, to the extent that a Racecourse Managing Executive has the right to do so, excluded or ejected from all stands, rooms, enclosures and other places used for the purposes of the meeting,
14.3.9. integrity technical services are provided at all race meetings run under these Rules in accordance with such standards as the Authority may specify,
14.3.10. effect is given to any direction given by the Authority under Rule (A)80 (controls on advertising and sponsorship) to modify or remove any of the conditions of a race, and
14.3.11. effect is given to any decision to abandon any race or race meeting which is made by the Authority under Part (A)2.

14.4. The Authority may decide not to take Disciplinary Action against a Racecourse Managing Executive in respect of any contravention under Paragraph 14.2 or 14.3 if the Authority is satisfied that the circumstances of the contravention were wholly outside the control of

14.4.1. a Racecourse Managing Executive, or
14.4.2. its employees, servants and agents (including self-employed and professional Persons engaged to provide services), and

a Racecourse Managing Executive has taken reasonable steps to arrange its affairs so as to minimise the adverse impact on racing of matters outside its control.

14.5. Where, on the day of a race meeting, a Racecourse Managing Executive proposes to abandon a day's racing or abandon any race as a result of

14.5.1. an emergency situation involving crowd safety or public order, or
14.5.2. any direction given by the police or emergency services,

the executive must inform the Stewards before the decision to abandon is announced.

14.6. A Racecourse Managing Executive may

14.6.1. abandon a day's racing,
14.6.2. abandon any race

in any circumstances specified in Paragraph 14.7.

14.7. The circumstances are

14.7.1. there are exceptional circumstances,
14.7.2. there is adverse weather, or
14.7.3. the ground conditions are such that the course (or any part thereof) is not safe.

14.8. Subject to Paragraph 14.5, the decision to abandon a day's racing or abandon any races, may only be taken from noon 14 days prior to that on which the day's racing has been advertised until 2 hours before the first race.

14.9. If a Racecourse Managing Executive abandons a day's racing or races on the grounds specified in Paragraph 14.7, a certificate must be drawn up stating the day and hour when the decision was arrived at and summarised reasons for doing so.

14.10. The certificate must be signed by the Clerk of the Course and without delay despatched to the Authority’s Office.

14.11. A Racecourse Managing Executive may leave out or alter any obstacles in the circuit of the course if their retention would necessitate the abandonment of the day's racing or a race, but the original advertised distance of a race must not be decreased.

14.12. For the purposes of Paragraph 14.11, a decision to leave out or alter may only be taken up until 2 hours before the first race.

14.13. Any decision to implement the Stop Race Procedure shall be made by the Racecourse Managing Executive acting in good faith and believing there to be a good reason for doing so, but without further obligation.

14.14. A Racecourse Managing Executive must comply with the controls for sponsorship which are for the time being approved by the Authority.

14.15. For the purposes of Paragraph 14.3.1, the Stewards of a meeting or any other Person acting in an official capacity on behalf of the Authority at a meeting shall not be regarded as employed by or otherwise subject to the control or direction of a Racecourse Managing Executive.

14.16. In Paragraph 14.3.9, Integrity Technical Services include camera and technical facilities for Stewards, a radio communication network service approved by the Authority and a public address communication service.

15. Inspection of racecourses in connection with licensing

15.1. The Inspector of Courses may, either on an initial application for a racecourse licence or subsequently

15.1.1. inspect a racecourse at any time and with such frequency as he considers appropriate,
15.1.2. prepare a report for consideration by the Authority, and
15.1.3. if authorised to do so under Paragraph 15.3, issue a penalty notice to the Racecourse Managing Executive in respect of any failure to comply with a requirement imposed on him by these Rules.

15.2. The greatest number of horses which may be started safely in a race at a racecourse is such number as may be determined by the Inspector of Courses.

15.3. This number is referred to in this Manual as the Safety Factor.

15.4. The Authority may

15.4.1. authorise one or more Inspector of Courses to issue fixed penalty notices, and
15.4.2. from time to time determine the amount of the fixed penalty, subject to a maximum amount of £500.

15.5. Where a fixed penalty notice is issued by an Inspector of Courses, the amount of the penalty shall be payable by the Racecourse Managing Executive at the end of the period of 7 days starting on the date of the issue of the notice.

15.6. Paragraph 15.4 does not apply if the Racecourse Managing Executive notifies the Authority that the matter of its alleged non compliance should be the subject of an enquiry held under Part (A)5.

16. Submission of racecourse statement of accounts

16.1. This Rule applies where a Racecourse Managing Executive requires fixtures for the racecourse for any year.

16.2. The Racecourse Managing Executive must send to the Authority on request a statement of accounts detailing such financial information as the Authority may require.

17. Approvals required for equine events at racecourses

17.1. A racecourse may not be used for an equine event involving the use of the track unless

17.1.1. the Racecourse Managing Executive has given its approval to its use for that purpose, and
17.1.2. the Authority has given its authorisation under Paragraph 17.2.

17.2. If the Authority considers it appropriate to do so, it may

17.2.1. authorise the use made of a racecourse for an equine event involving the use of the track, and
17.2.2. refuse or cancel such authorisation.

PART 3 – RACING PROGRAMMES

18. The conditions of the race

18.1. The conditions of a race must meet the following requirements.

18.2. The date and time on which entries will close.

18.3. The date and time by which declarations to run must be made.

18.4. The fees relating to any race stage and the total liability for runners must advertised.

18.5. The total prize money and the allocation of prize money between the winner and placed horses must be advertised.

18.6. The Maximum Figure for a race must not exceed the Safety Factor.

18.7. The number of horses permitted to start the race must not exceed either the Maximum Figure or the Safety Factor.

18.8. The conditions of a race must not contain any riders' allowances unless the prior approval of the Authority has been given.

18.9. The conditions of a race must not contain any restrictions as to training stables (e.g. for horses trained in the Channel Islands) unless the prior approval of the Authority has been given. For the avoidance of doubt, this restriction does not include any provision in the race conditions that a horse has to have run in the Channel Islands during a given season in order to qualify.

18.10. In respect of any meeting at which any Match, Private Sweepstakes or Novelty Race run under these Rules is to be included in the programme the conditions of the race and any other special arrangements must be submitted to the Authority for its approval prior to publication.

19. Publication of the race programme

19.1. The conditions of a race must be published by the Racecourse Managing Executive staging the race before closing.

19.2. The advertisement before closing must also contain the following information

19.2.1. the dates or dates on which the meeting is to be held,
19.2.2. the dates for closing the races,
19.2.3. the time from which penalties for winning will be incurred, and
19.2.4. the dates determined in accordance with Part 6 for making confirmations of entry and declarations to run.

19.3. The conditions of any race must not be altered after publication except in accordance with Paragraphs 19.4 and 19.5.

19.4. The Authority may at any time before the start of a race approve the addition of more money to the race.

19.5. The Authority may approve the publication of such corrections as it considers appropriate in any case where

19.5.1. any part of the conditions of a race are omitted from the advertisement, or
19.5.2. the conditions of a race stated in the advertisement are incorrect.

20. Application of Chapter 2

20.1. This Chapter contains provisions which are additional to those in Chapter 1 of this Part and which apply to the racing programme for any meeting for which flat races are advertised.

20.2. The provisions in this Chapter apply to all such races, unless the Authority directs otherwise.

21. General restrictions on flat races

21.1. No yearling or two-year old may run in a flat race.

21.2. No horse may carry more than 11st 10lb in a flat race.

21.3. No horse may carry less than 8st 5lb in a flat race.

21.4. No flat race may be run over less distance than five furlongs.

21.5. No flat race may be run over a distance in excess of two miles and six furlongs.

22. Classified stakes

22.1. This Rule applies to any Classified Stakes and the conditions specified in this Rule must be met up to and including the Saturday immediately before closing.

22.2. No horse may run in the race unless the horse has run at least twice in the Channel Islands (either on the flat or over Jumps or a combination of both) and the Handicapper has issued it with a Handicap Rating, or the requirements in Paragraph 22.3 or 22.4 are met in respect of the horse.

22.3. The requirements of this Paragraph are that the horse

22.3.1. has won a flat race run in the Channel Islands after running only once in such a race, and
22.3.2. otherwise satisfies the race conditions.

22.4. The requirements of this Paragraph are that the Handicapper is prepared to allot a Handicap Rating to a horse which

22.4.1. is trained in the Channel Islands, and
22.4.2. has run at least three times in a flat race under the rules of a Recognised Racing Authority.

23. Handicap flat races

23.1. This Rule applies to any flat race Handicap and the conditions specified in this Rule must be met up to and including the Saturday immediately before closing.

23.2. Subject to Rule 23.3, no horse which is trained in the Channel Islands may run in any Handicap Race unless the Handicapper is prepared to allot a Handicap Rating to the horse and the horse

23.2.1. has run at least three times in flat races run under the rules of any one or more Recognised Racing Authorities, or
23.2.2. has won a flat race run in the Channel Islands, Great Britain and/or Ireland; or
23.2.3. has run twice in the Channel Islands in flat races.

Subject to Rule 23.3, no horse which is trained outside the Channel Islands may run in a Handicap Race unless the Handicapper is prepared to allot a Handicap Rating to the horse and the horse

23.2.4. has run at least three times in flat races run under the rules of any one or more Recognised Racing Authorities.

23.3. In an Open Handicap Race a horse without a Handicap Rating may run but shall be alloted top weight.

24. Further provision about previous experience required by Rule 22 or 23

24.1. This Rule applies for the purpose of determining whether a horse has run in the number of races required by Rule 22 or 23.

24.2. No account is to be taken of

24.2.1. any Match,
24.2.2. any Private Sweepstakes,
24.2.3. any walk-over,
24.2.4. any race where the horse does not finish, or

24.2.5. subject to Paragraph 24.4, any race in respect of which

24.2.5.1. Disciplinary Action was taken against the Rider in Case 1 set out in Rule (B)55 (intentional failure to ensure horse is run on its merits), or

24.2.5.2. the Handicapper makes a determination under Paragraph 24.3.

24.3. The Handicapper may decide that no account is to be taken of any race where Disciplinary Action was taken against the Rider

24.3.1. in Case 2 set out in Rule (B)55 (failure to obtain its best possible placing but no intentional disregard of requirement to run horse on merits), or

24.3.2. in Case 3 set out in Rule (B)55 (failure to ensure horse is run on its merits in circumstances not covered by Case 1 or Case 2).

24.4. Where any appeal under Part (A)7 (appeals) against Disciplinary Action is successful, the run of the horse in respect of which such action was taken may once again be taken into account for the purposes of Rule 23 or 24, but only with effect from the date of the appeal decision.

24.5. The Handicapper may in any case decline to allot a Handicap Rating if, in his opinion, he does not have sufficient information upon which to allot one.

25. Handicap flat races: top and bottom weights

25.1. Subject to any special conditions of a race, the top weight in a Handicap flat race shall not be less than 10st 12lb.

25.2. The bottom weight in a Handicap flat race shall not be less than 8st 5lb.

25.3. In any Handicap flat race, the original weights may go down to weights below the permitted bottom weight.

26. Handicap flat races: weight adjustments at declaration to run

26.1. With regard to any Handicap flat race, an assessment shall be made in accordance with this Rule, at the time determined in accordance with Rule (F)50 for making declarations to run, in order to determine the weight adjustments required by this Rule.

26.2. If

26.2.1. the highest weight that remains declared to run is less than the weight maximum specified in the conditions of the race, or

26.2.2. the highest weighted horse in receipt of a weight-for-age allowance that remains declared to run is less than 10st 12lb,

the weights shall be raised by the lowest amount necessary to ensure compliance with the weight maximum specified in either Paragraphs 26.2.1 or 26.2.2 and the weights of all other declared runners will be raised equally.

26.3. Any penalty incurred since the date stated in the conditions of the race shall then be added

26.3.1. to the final weights, as increased under Paragraph 26.2, or
26.3.2. if no such increase was made, to the original weights.

26.4. If the weight of a horse (including any penalties) is still below the lowest permitted weight after any increase required by Paragraphs 26.2 and 26.3, its weight shall be raised to the lowest weight permitted in accordance with Rule 25.

26.5. Where a penalty is incurred after the time for making declarations to run, it shall be calculated on the original weight

26.5.1. including any increase under Paragraph 26.2, but
26.5.2. excluding any increase under Paragraph 26.4.

27. Handicap flat races: weight adjustments on the day of the race

27.1. This Rule applies to any horse who has been allocated top weight in a handicap of 7lbs or more than any other horse in the race.

27.2. In the event that the horse is declared a non-runner more than one hour before the published time of the first race of the day, the weights of the remaining runners shall be adjusted upwards to reflect the position which would have applied had the top weight never been declared a runner.

27.3. The provisions of Paragraphs 26.2 shall apply in calculating the revised weights.

27.4. If the horse is withdrawn less than an hour before the published time of the first race of the day, no adjustments shall be made to the weights however the Trainer will be liable to Disciplinary Action unless the Stewards are satisfied with the Trainer's explanation for the late withdrawal of the horse.

28. Application of Chapter 3

28.1. This Chapter contains provisions which are additional to those in Chapter 1 and which apply to the racing programme for any meeting for which steeple chases, hurdle races or National Hunt Flat Races are advertised.

28.2. The provisions in this Chapter apply to all such races, unless the Authority directs otherwise.

29. General restrictions on jump racing

29.1. No horse may run in

29.1.1. a hurdle race until it is four years old,
29.1.2. a steeple chase until it is five years old.

29.2. No horse may carry less than 9st 3lb in a hurdle race, steeple chase or National Hunt Flat Race.

29.3. No horse may carry more than 13st in a steeple chase, hurdle race or National Hunt Flat Race.

30. Classified race

30.1. This Rule applies to any Classified Race and the conditions specified in this Rule must be met up to and including the Saturday immediately before closing.

30.2. No horse may run in the race unless the horse has run at least twice in the Channel Islands (either on the flat or over Jumps or a combination of both) and the Handicapper has issued it with a Handicap Rating, or the requirements in Paragraph 30.3 or 30.4 are met in respect of the horse.

30.3. The requirements of this Paragraph are that the horse

30.3.1. has won a Jump Race run in the Channel Islands after running only once in such a race, and
30.3.2. otherwise satisfies the race conditions.

30.4. The requirements of this Paragraph are that the Handicapper is prepared to allot a Handicap Rating to a horse which

30.4.1. is trained in the Channel Islands, and
30.4.2. has run at least three times in steeple chases or hurdles races or a combination of both under the rules of a Recognised Racing Authority.

31. Handicap Jump races

31.1. This Rule applies to any Handicap Jump Race and the conditions specified in this Rule must be met up to and including the Saturday immediately before closing.

31.2. Subject to Rule 31.4, no horse which is trained in the Channel Islands may run in any Handicap Jump Race unless the Handicapper is prepared to allot a Handicap Rating to the horse and the horse

31.2.1. has run at least three times in steeple chases or hurdles races or a combination of both run under the rules of any one or more Recognised Racing Authorities, or

31.2.2. has won a steeple chase or hurdle race run in the Channel Islands, Great Britain and/or Ireland; or

31.2.3. has run twice in the Channel Islands in steeple chases or hurdles races or a combination of both .

31.3. Subject to Rule 31.4, no horse which is trained outside the Channel Islands may run in a Handicap Jump Race unless the Handicapper is prepared to allot a Handicap Rating to the horse and the horse

31.3.1. has run at least three times in steeple chases or hurdles races or a combination of both run under the rules of any one or more Recognised Racing Authorities.

31.4. In an Open Handicap Jump Race a horse without a Handicap Rating may run but shall be alloted top weight.

32. Further provision about previous experience required by Rule 31

32.1. This Rule applies for the purpose of determining whether a horse has run in the number of races required by Rule 31.

32.2. No account is to be taken of

32.2.1. any Match,
32.2.2. any walk-over,
32.2.3. any race where the horse does not finish, or
32.2.4. subject to Paragraph 32.4, any race in respect of which

32.2.4.1. Disciplinary Action was taken against the Rider in Case 1 set out in Rule (B)55 (intentional failure to ensure horse is run on its merits), or
32.2.4.2. the Handicapper makes a determination under Paragraph 32.3.

32.3. The Handicapper may decide that no account is to be taken of any race where Disciplinary Action was taken against the Rider

32.3.1. in Case 2 set out in Rule (B)55 (failure to obtain its best possible placing but no intentional disregard of requirement to run horse on merits), or
32.3.2. in Case 3 set out in Rule (B)55 (failure to ensure horse is run on its merits in circumstances not covered by Case 1 or Case 2).

32.4. Where any appeal under Part (A)7 (appeals) against Disciplinary Action is successful, the run of the horse in respect of which such action was taken may once again be taken into account for the purposes of Rule 31 but only with effect from the date of the appeal decision.

32.5. The Handicapper may in any case decline to allot a Handicap Rating if, in his opinion, he does not have sufficient information upon which to allot one.

33. Handicap Jump Races: top and bottom weights

33.1. Subject to any special conditions of a race, the top weight in a Handicap Jump Race shall not be less than 11st 10lb.

33.2. The bottom weight in a Handicap Jump Race shall not be less than 9st 3lb.

33.3. In any Handicap Jump Race, the original weights may go down to weights below the permitted bottom weight.

34. Handicap Jump Races: weight adjustments at declaration to run

34.1. With regard to any Handicap Jump Race, an assessment shall be made in accordance with this Rule, at the time determined in accordance with Rule (F)50 for making declarations to run, in order to determine the weight adjustments required by this Rule.

34.2. If

34.2.1. the highest weight that remains declared to run is less than the weight maximum specified in the conditions of the race, or

34.2.2. the highest weighted horse in receipt of a weight-for-age allowance that remains declared to run is less than 11st 10lb,

the weights shall be raised by the lowest amount necessary to ensure compliance with the weight maximum specified in either Paragraphs 34.2.1 or 34.2.2 and the weights of all other declared runners will be raised equally.

34.3. Any penalty incurred since the date stated in the conditions of the race shall then be added

34.3.1. to the final weights, as increased under Paragraph 34.2, or

34.3.2. if no such increase was made, to the original weights.

34.4. If the weight of a horse (including any penalties) is still below the lowest permitted weight after any increase required by Paragraphs 34.2 and 34.3, its weight shall be raised to the lowest weight permitted in accordance with Rule 33.

34.5. Where a penalty is incurred after the time for making declarations to run, it shall be calculated on the original weight

34.5.1. including any increase under Paragraphs 34.2, but
34.5.2. excluding any increase under Paragraph 34.4.

35. Handicap Jump Races: weight adjustments on the day of the race

35.1. This Rule applies to any horse who has been allocated top weight in a Handicap Jump Race of 7lbs or more than any other horse in the race.

35.2. In the event that the horse is declared a non-runner more than one hour before the published time of the first race of the day, the weights of the remaining runners shall be adjusted upwards to reflect the position which would have applied had the top weight never been declared a runner.

35.3. The provisions of Paragraphs 34.2 shall apply in calculating the revised weights.

35.4. If the horse is withdrawn less than an hour before the published time of the first race of the day, no adjustments shall be made to the weights however the Trainer will be liable to Disciplinary Action unless the Stewards are satisfied with the Trainer's explanation for the late withdrawal of the horse.

PART 4 – SELLING RACES

36. Power to make provisions

36.1. The Authority may make provisions for Selling Races and any consequent auctions.

PART 5 – RACE ENTRIES

37. Powers of the Authority

37.1. For the purposes of this Rule Approved Laboratory means the laboratory approved by the Authority at any time for the testing of Samples.

37.2. The Authority may, if it considers it appropriate to do so

37.2.1. accept, or refuse to accept, any entry for a race in such circumstances as the Authority may determine, and

37.2.2. when accepting an entry, impose such restrictions or conditions as it may determine.

37.2.3. request other Recognised Racing Authorities to take samples from horses trained in their country which are entered to run in races in the Channel Islands to be analysed by the Approved Laboratory.

37.3. The following provisions of this Part are subject to Paragraph 37.2 and, in particular, where such provisions specify a deadline for taking a particular step, the Authority may specify such other deadline as it considers appropriate in relation to a specified race or races of a specified description.

37.4. No entry shall be accepted where the Nominator or the Owner of the horse has failed to comply with the requirements of Rule 64 (power of the Authority to require earlier payment).

37.5. Nothing in this Part restricts the Authority's general powers under Part (A)2 (general functions of the Authority) and, in particular, its power under Rule (A)12 (supplementary powers) to waive the application of any Rule in such circumstances as it may consider appropriate.

38. Delegation to Racecourse Managing Executives

38.1. The Authority may delegate to a Racecourse Managing Executive such administrative functions under this Part 5 as it shall think appropriate.

39. Requirements as to horse being entered

39.1. A horse may not be entered for a race unless it meets the requirements specified in Schedule (B)2 (requirements for horse to enter race). Those requirements are also set out in Schedule 1.

39.2. Notwithstanding that the requirements of Paragraph 1.5 of Schedule 1 are met in respect of an entry for a horse foaled in Great Britain, Ireland or the Channel Islands, the entry shall be void where it appears to the Authority that

39.2.1. the requirements as to the horse's name which are set out in Schedule (E)1 were not satisfied at the time of registration, or

39.2.2. the registration is void under Rule (E)9.5 (registering the name).

40. Making the entry: horse trained in the Channel Islands

40.1. This Rule applies to any horse which is trained in the Channel Islands.

40.2. The horse may be entered for a race only if

40.2.1. the name of the Owner is entered on the register of Owners under Part (E)3,
40.2.2. the entry is made by the Owner of the horse or his Authorised Agent, and
40.2.3. the entry is made

40.2.3.1. in the name of one Person only, and
40.2.3.2. in accordance with the requirements as to the name to be used when running a horse which are set out in Part (E)3 (so, for example, the company name must be used by a Recognised Company, the club name must be used by a Racing Club, the Partnership name must be used by a Partnership, and so on).

40.3. No horse may be entered in the ownership or part-ownership, or in the name, of a Disqualified Person.

40.4. No horse may be entered for a race in the name of any Person as his Owner unless that Person has a beneficial interest in the horse which is at least equal to that of each other Person with a beneficial interest.

40.5. Paragraph 40.4 does not apply to any horse which

40.5.1. is in the ownership of a Racing Club,
40.5.2. is subject to a registered Partnership, or
40.5.3. is in the ownership of a Syndicate.

40.6. The following information must be given at the Time of Entry

40.6.1. the registered name of the horse;
40.6.2. the name of the Owner;
40.6.3. the name of the meeting;
40.6.4. the race distance
40.6.5. the date upon which the race is advertised to be run;
40.6.6. such other details as the Authority may require.

40.7. Where a horse has entered training in the Channel Islands after coming from abroad
40.7.1. an entry for the horse must also be accompanied by full details of any racecourse performances outside the Channel Islands, Great Britain or Ireland, but

40.7.2. as soon as the requirement in Paragraph 40.7.1 has been complied with, that requirement shall cease to apply for any subsequent races provided that the horse remains in the Channel Islands during the intervening period.

(See Rule (C)17 (information about new horse arriving from outside the Channel Islands)).

40.8. The entry may be made only through the Authority's Office or, where the Authority has delegated the function under Rule 38, the relevant Racecourse Managing Executive.

41. Making the entry: horse trained outside the Channel Islands

41.1. This Rule applies to any horse which is trained outside the Channel Islands.

41.2. The horse may be entered for a race only if

41.2.1. it is registered with one of the Stud Book Authorities listed in Schedule (E)2 and its name registered by the Recognised Racing Authority in the country of foaling,
41.2.2. the Owner is duly registered in the country in which the horse is trained, and
41.2.3. the entry is made

41.2.3.1. by the Owner of the horse,
41.2.3.2. by his Authorised Agent.

41.3. No horse may be entered in the ownership or part-ownership, or in the name, of a Disqualified Person.

41.4. The entry may be made only in writing.

41.5. Any entry made must be accompanied by the following information

41.5.1. the registered name for the horse;
41.5.2. if the horse was foaled outside Great Britain, Ireland or the Channel Islands

41.5.2.1. the country of foaling, and
41.5.2.2. such pedigree or description as will identify it;

41.5.3. the name of the Owner;
41.5.4. contact details for the purposes of any communications relating to the entry;
41.5.5. the name of the meeting;
41.5.6. the date upon which the race is advertised to be run;
41.5.7. the sex of the horse;
41.5.8. if the horse is pregnant, the date of the last covering;

41.5.9. such other details as the Authority may require.

42. Alteration and withdrawal of entry before closing

42.1. Where an entry has been made in respect of a horse, the Owner or his Authorised Agent may alter or withdraw the entry at any time before closing.

43. Entries for races in the Channel Islands which close to other Recognised Racing Authorities

43.1. The following provisions of this Rule apply where a Recognised Racing Authority outside Great Britain or the Channel Islands advertises that it is prepared to accept entries for a race in the Channel Islands for onward transmission to the Authority.

43.2. An entry may close to the Recognised Racing Authority if it is made in writing and signed by the Owner of the horse or his Authorised Agent and Rules 39 and 41 shall apply to the entry as those Rules apply to any other entry which is made in writing.

43.3. An entry under this Paragraph shall be subject to a surcharge to the Owner of the horse as specified in Schedule (A)1.

43.4. Nothing in this Rule shall prevent a Recognised Racing Authority which considers it appropriate to do so, from applying an earlier deadline from that determined in accordance with Rules 18.2 and 44 for the closing of entries.

44. Closing time for races

44.1. Subject to Rule 45

44.1.1. the list of entries shall be closed at the time advertised under Rule 18.2; and

44.1.2. no entry shall be admitted on any ground after that time.

45. Re-opening of entry procedure

45.1. Where six or fewer entries for a flat race or five or fewer entire for a Jump Race have been received by the time of closing, the race will be subject to a re-opening of the entry procedure.

45.2. Further entries may be made for the race for a period of 48 hours after the original closing time.

45.3. The re-opening shall immediately be advised:

45.3.1. to all trainers who have submitted entries for the meeting concerned;
45.3.2. to all Licenced Trainers;

and shall be publicised on the web-site of the Racecourse Managing Executive where the race is due to take place for the 48 hour period.

46. Steps for correcting certain errors

46.1. Where a horse is accidentally entered for a race for which it is not qualified, the entry may be deleted at any time up to the time determined in accordance with Part 6 for confirming entries.

46.2. Where any information that was given as to the Owner of the horse is incorrect or is not otherwise in accordance with the requirements of this Part, the entry may be corrected if

46.2.1. the error was accidental and the Authority has no reason to believe that there has been any fraud,
46.2.2. the correction is made by the time determined in accordance with Part 6 for making declarations to run,
46.2.3. the Chief Steward is notified of the correction before the Rider weighs out for the race, and
46.2.4. a payment is made to the Authority of not less than £50.

For the purposes of Paragraph 46.2.2, a correction is regarded as having been made where the declaration to run under Part 6 is made by the Owner of the horse or his Authorised Agent.

46.3. A failure to comply with any provision of these Rules which relates to the making of entries may be corrected if

46.3.1. the failure occurs in the circumstances described in Paragraph 46.4.1 and the condition specified in Paragraph 46.4.2 of that Paragraph is met, or
46.3.2. the failure occurs in the circumstances described in Paragraph 46.5.1 and the condition specified in Paragraph 46.5.2 of that Paragraph is met,

and the Authority has no reason to believe that there has been any fraud and a payment is made to the Authority of not less than £50.

46.4. For the purposes of Paragraph 46.3.1

46.4.1. the relevant circumstances are that, contrary to Rule (E)43 (conditions for initial registration of Partnership), the documents required for the registration of a Partnership have not been received by the Authority before the entry is made, and

46.4.2. the condition to be satisfied in order to correct the failure is that, before the Rider weighs out for the race, those documents are received by the Authority.

46.5. For the purposes of Paragraph 46.3.2

46.5.1. the relevant circumstances are that, contrary to Rule 40.7, the details as to the performances of a horse have not been provided in respect of a horse which has entered training in the Channel Islands after coming from abroad, and

46.5.2. the condition to be satisfied in order to correct the failure is that, by the time determined in accordance with Part 6 for making declarations to run, the correction is made to the Authority's Office and the details provided.

46.6. Where a horse runs in the circumstances specified in this Rule without an appropriate correction having been made, the Authority may take Disciplinary Action against any Person who is responsible for having run the horse.

47. Void entries

47.1. An entry shall not become void on the death of the Person in whose name it is made or taken, and all rights, privileges, and liabilities that could have attached to the deceased Person if he or she had been alive shall attach to his or her personal representative.

47.2. In any of the following provisions of this Rule or in any other Rule in this Part, any reference to an entry for a race being void in respect of a horse means that the horse shall not be qualified to be entered for or start in the race.

47.3. If the Owner of a horse becomes a Disqualified Person after an entry has been made for a horse, the entry shall be void.

47.4. In respect of any Handicap or other rating related race where Handicap Ratings are relevant to qualification, an entry made for a horse shall be void if

47.4.1. the horse does not have a relevant current qualifying Handicap Rating published in the latest ratings lists before the closing deadline for the race, and

47.4.2. either

47.4.2.1. the Handicap Rating subsequently awarded by the Handicapper is outside any qualifying bands specified in the published race conditions, or

47.4.2.2. the Handicapper declines to allocate a Handicap Rating to the horse.

47.5. Where an entry is made for a horse as a result of the publication of an incorrect Handicap Rating and the subsequent correction renders the horse ineligible for the race, the entry shall be void and any payment made before the race shall be returned.

48. Authority to be notified of transfer of engagements

48.1. Where a horse changes ownership, its engagements may be transferred to the new Owner but only if the following conditions are met

48.1.1. the name of the transferee is entered on the register of Owners under Part (E)3 or, if the horse is to be trained in another country, the transferee is duly registered in that country, and

48.1.2. before the horse starts for a transferred engagement, there is sent to the Authority's Office

48.1.2.1. written acknowledgement from both parties or their Authorised Agents that the horse was transferred with engagements,

48.1.2.2. the details of the engagements to be transferred, and

48.1.2.3. the appropriate fee specified in Schedule (A)1 from the transferee.

48.2. But no transfer of engagements is required if the Nominator does not change.

48.3. Only such engagements as are notified under Paragraph 48.1 shall be regarded as having been transferred.

48.4. The information required by Paragraph 81.1.2 may be sent to the Authority's Office or given to the Chief Steward for transmission to that Office.

48.5. If the horse runs in a race before the information required by Paragraph 48.1.2 is provided, the horse is liable to disqualification under Part (A)6 (which contains provision for disqualification and suspension of horses).

PART 6 – PROCESSING AFTER ENTRY

49. Powers of the Authority

49.1. The Authority may, if it considers it appropriate to do so

49.1.1. accept or refuse to accept any of the following in such circumstances as it may determine

49.1.1.1. any confirmation of entry in a race,
49.1.1.2. any declaration to run,
49.1.1.3. any declaration of Rider for a horse,
49.1.1.4. any alteration of any such confirmation or declaration, or
49.1.1.5. any withdrawal of any such confirmation or declaration;

49.1.2. refuse to allow a horse duly entered to run in any race in such circumstances as the Authority may determine;
49.1.3. when accepting any such declaration or confirmation, impose such restrictions or conditions as the Authority may determine.

49.2. The following provisions of this Part are subject to Paragraph 49.1.1 and, in particular, where such provisions specify a deadline for taking a particular step, the Authority may specify such other deadline as it considers appropriate in relation to a specified race or races of a specified type.

49.3. In the case of any refusal made by the Authority under Paragraph 49.1, the Authority may direct that any stake be remitted to the Owner.

49.4. The Authority may determine the policy in respect of the division of races or the elimination of entries.

49.5. Nothing in this Part restricts

49.5.1. the Authority's general powers under Part (A)2 (general functions of the Authority), and
49.5.2. in particular, its power under Rule (A)11 (supplementary powers) to waive the application of any Rule in such circumstances as it may consider appropriate.

50. Declaration to run

50.1. For the purposes of these Rules

50.1.1. the time for making a declaration to run in a race shall be determined in accordance with this Rule, but
50.1.2. this is subject to

50.1.2.1. any alternative deadline specified by the Authority under Rule 49.2,
50.1.2.2. the extension for horses eliminated under Rule 58.2; and
50.1.2.3. any extension of the deadline under Rule 51.

50.2. No horse may run in any race unless

50.2.1. a declaration to run has been made by 10.00 a.m. on the day of the appropriate deadline determined in accordance with Paragraph 50.4 (subject to Rule 49.2), and
50.2.2. the declaration has been accepted by the Authority.

50.3. The declaration to run may be made only through the Authority's Office or, where the Authority has delegated the function under Rule 38, the relevant Racecourse Managing Executive.

50.4. Unless the Authority directs otherwise, the day of the deadline is as follows

50.4.1. such day as is set down in the conditions of the race under Rule 18.3; or
50.4.2. in default of any date being set down in the race conditions the deadline is four days before the day on which the race is advertised to be run.

50.5. A declaration to run may be withdrawn at any time before the deadline determined in accordance with Paragraph 50.4.

50.6. The following information must be given when making or withdrawing a declaration to run

50.6.1. the name of the Owner,
50.6.2. the name of the horse,
50.6.3. the name of the meeting, and
50.6.4. the name of the race.

In addition, where a horse is to run in any Equipment it must be declared when making a declaration to run in accordance with Paragraph 3 of Schedule (B)(4).

50.7. Unless Paragraph 50.8 applies, the only Persons who may make or withdraw a declaration to run are

50.7.1. the Owner of the engagement,
50.7.2. in the case of a withdrawal of a declaration for a horse sold without transfer of the engagement, the Nominator, and
50.7.3. the Authorised Agent of a Person within Paragraph 50.7.1. or 50.7.2.

50.8. Where the horse is trained outside the Channel Islands, a declaration to run may also be made or withdrawn by

50.8.1. the Trainer of the horse, or
50.8.2. if he holds a valid authorisation, an official of the Racing Authority under whose authority the Trainer of the horse is duly qualified as such.

51. Re-Opening of races with one runner declared and general extension of deadline for declarations to run

51.1. When at the time for making a declaration to run in a race only one horse has been declared, the race concerned will be re-opened as to declarations until 2.00 p.m. the same day.

51.2. Any horse subsequently declared for the re-opened race which has been declared at 10.00 a.m. for another race on the same race card will have its declaration for the other race automatically cancelled without penalty.

51.3. The deadline specified in Rule 50 may be extended in accordance with any directions given by the Authority.

52. Declaration of rider

52.1. This Rule

52.1.1. applies in respect of each horse which, by the time determined in accordance with this Part for making declarations to run, has been declared to run in a race, but
52.1.2. is subject to any directions given by the Authority under Rule 49.2.

52.2. The declaration of Rider may be made only through the Authority's Office or, where the Authority has delegated the function under Rule 38, the relevant Racecourse Managing Executive.

52.2A Where the function under Rule 52.2. has been delegated to a Racecourse Managing Executive:

52.2A.1. declarations of Rider should be made to the relevant Racecourse Managing Executive prior to the publication of the race card by the Racecourse Managing Executive for the race meeting concerned; and
52.2A.2. after publication of the race card, any outstanding declarations of Rider must be made to the Authority's Office.

52.3. The responsible Person specified in Paragraph 52.6 must ensure that a declaration of the Rider for the horse is made by 12.00 p.m. on the day before the horse is declared to run.

52.4. If a declaration of Rider is not received by the time required, the Authority may authorise a Rider to ride a horse that is declared to run up to forty-five minutes before the start of the race..

52.5. A declaration of Rider may be withdrawn or altered at any time before the deadline specified in Paragraph 52.3, and the most recent declaration of Rider shall automatically replace any previous declaration.

52.6. The responsible Person

52.6.1. is the Trainer of the horse if he is the Authorised Agent of the Owner at deadline specified in Paragraph 52.3, and
52.6.2. otherwise, is the Owner of the horse at that deadline.

52.7. The person making the declaration of Rider must ensure, before making the declaration, that the Rider is available to ride.

53. Procedure for making, withdrawing or altering a declaration of rider

53.1. This Rule specifies the procedure to be followed

53.1.1. when making a declaration of Rider,
53.1.2. when withdrawing such a declaration, and
53.1.3. when altering such a declaration.

53.2. No fee is payable where the declaration of Rider is made, withdrawn or altered.

53.3. Unless Paragraph 53.4 applies, the only Persons who may make, withdraw or alter a declaration of Rider are

53.3.1. the Owner of the horse,
53.3.2. the Trainer of the horse,
53.3.3. an Authorised Agent of the Owner or Trainer,
53.3.4. the intended Rider, and
53.3.5. a rider's agent who is authorised to act for the intended Rider.

53.4. Where the horse is trained outside the Channel Islands, the declaration of Rider may also be made or withdrawn by a Person who

53.4.1. is an official of the Racing Authority under whose authority the Trainer of the horse is duly qualified as such, and
53.4.2. holds a valid authorisation to make the declaration.

54. Requirement to run after declaration to run stage

54.1. If a qualified horse has been declared a runner in a race under this Part, the horse must run in the race provided that it has not been eliminated under

Rule 58 or, having been declared as a reserve, it is subsequently allocated a run.

54.2. A horse must not be left declared to run in two or more races on the same day once the deadline has passed for making declarations to run in each race.

54.3. No horse may run in two or more races run on the same day.

54.4. In the event of any failure to comply with this Rule

54.4.1. a financial penalty of £50 shall be imposed on the Trainer of the horse or, if the horse is not in the care of a Trainer, on the Owner, where the horse is made a non-runner before 12.00p.m. on the day of the race,

54.4.2. a financial penalty of £100 shall be imposed on the Trainer of the horse or, if the horse is not in the care of a Trainer, on the Owner, where the horse is made a non-runner at or after 12.00p.m. on the day of the race, or

54.4.3. instead of or in addition to imposing such a penalty, the Stewards may decide to take other Disciplinary Action against that Trainer or Owner if they consider that the circumstances of the horse's failure to run make it appropriate for them to do so.

54.5. In any case where the horse has been declared for a race but is not qualified to run in the race, a financial penalty of £50 shall also be imposed on the Trainer of a horse or, if the horse is not in the care of a Trainer, on the Owner.

55. Exceptions to Rule 54 : non-runners

55.1. Rules 54.1 and 54.5 do not apply in any of the circumstances specified in Paragraphs 55.2 to 55.5.

55.2. In accordance with Paragraphs 5.2 and 5.4 Schedule 2, the Trainer submits a satisfactory veterinary certificate to the Authority's Office within 7 days of the race in which horse was declared to run.

55.3. There is a change in the going on the day of the race from:

55.3.1. the going on the day of declarations; or
55.3.2. the forecast going given on the day of declarations for the day of the race;

set out in the going report which, on the day the horse was declared to run, was posted by the Racecourse Managing Executive or was available from the Clerk of the Course (or the Person nominated by him).

55.4. The Trainer, his representative or the Owner satisfies the Stewards on the day of the race that the failure of the horse to run was caused by other circumstances outside the reasonable control of the Trainer (or his representative) or the Owner.

55.5. The horse is prevented from running because the rider was not allowed, under Rule (B)22.5, to weigh out on account of the fact that certain sums due under these Rules remain unpaid. In such circumstances, a financial penalty of £200 shall instead be imposed on the Owner of the horse.

56. Procedure for notification of non-runner after declaration to run stage

56.1. The Trainer of a horse must ensure that the procedure in Schedule 2 is followed if a horse declared to run in a race under this Part is to be a non-runner in the race.

57. Notifying the death of a horse

57.1. The notification of the death of a horse to the Authority's Office shall withdraw the horse from all engagements in races.

58. Steps where declarations to run exceed applicable limit

58.1. Where by the deadline determined in accordance with this Part for making declarations to run, the number of horses declared to run in a race exceeds whichever is applicable of the Maximum Figure or the Safety Factor (the applicable limit) the number of declarations shall be reduced to the number permitted by the applicable limit.

58.2. Where a horse is declared to run but is eliminated due to a reduction in the number of permitted numbers under Paragraph 58.1, the horse so eliminated may be declared for another race on the same card for which it was entered but not declared at the time of declarations set out in Rule 50.2. In these circumstances, the declaration to run in the other race must be made by 12.00 pm on the day of declarations.

59. The draw

59.1. No draw shall be made in respect of any race.

60. Fees due in respect of entries

60.1. A fee is payable to the Authority in respect of each race entry processed and the amount of the fee is specified in Schedule (A)1.

60.2. Where the Authority has delegated responsibility to the Racecourse Managing Executive for the processing of entries for a race under Rule 38,

the fee payable under Paragraph 60.1 shall be paid to the Racecourse Managing Executive and not to the Authority and the Racecourse Managing Executive shall be entitled to retain it.

60.3. Where any horse entered in a race is deemed not to have qualified to start for the race at the time of closing, the sum due under Paragraph 60.1 nevertheless remains payable by

60.3.1. the Trainer, or
60.3.2. if he can establish that the entry was made by the Owner or another Authorised Agent, by the Owner or Authorised Agent who made the entry.

61. The stake and the stakeholder

61.1. In this Manual, the Stake, in respect of a horse engaged in a race

61.1.1. is any amount contributed by, or due from, a Person in accordance with the following provisions of this Part which forms part of the Owners' Prize Money Pool for the race, and
61.1.2. if different payments are required at different times, includes all such payments.

61.2. The Stakeholder is such Person as the Authority may appoint to collect

61.2.1. all Stakes, and
61.2.2. all other sums due under the conditions of races.

61.3. For the purposes of Paragraph 61.1

61.3.1. the Owners' Prize Money Pool in respect of a race comprises all stakes which are held by the Stakeholder for that race in accordance with these Rules;
61.3.2. each Owners' Prize Money Pool belongs to those Owners who contribute to it in the respective proportions of their contribution; and
61.3.3. such contributions shall be returned or distributed by the Stakeholder in accordance with the following provisions of this Part and Part 7.

62. Liability for stake money etc: races with no scratching deadline

62.1. Subject to Paragraph 62.2, a Person who is either the Nominator of a horse or its Owner at the time of nomination is, liable for

62.1.1. the Stake, and
62.1.2. any sum due under Rule 60 (fees for processing entries).

62.2. Where the details of a transfer of engagements have been sent to the Authority under Rule 48, the transferee becomes liable for the sums listed in Paragraph 69.1 and the Nominator is liable only if the transferee defaults.

62.3. Any amount due under this Rule is payable when the race takes place.

63. Circumstances in which amounts are not payable

63.1. No stake is payable in respect of a horse which is eliminated from a race under the provisions of Rule 58 (steps where declarations to run exceed relevant race limit).

63.2. No stake is payable in respect of a horse which is entered in a race where the race is void or is never run.

63.3. No amount is payable in respect of a horse if the entry is void by virtue of Rule 47.

63.4. No stake is payable in respect of a horse if it is not qualified to start in a race at the time of closing.

63.5. Any payment made before the race in respect of a horse within any of Paragraphs 63.1 to 63.4 shall be returned.

64. Power of the Authority to require earlier payment

64.1. If the Authority considers it appropriate to do so

64.1.1. it may require the Nominator or Owner of a horse in a race to provide funds in advance for the initial stake or for any additional sums laid down in the conditions of the race, or

64.1.2. it may require that Stake money be paid at the Time of Entry together with any Arrears.

64.2. The Authority may specify the amount and manner of payment of any funds required under Paragraph 64.1.1.

65. Failure to pay stake money

65.1. If it considers it appropriate to do so, the Authority may delete an entry for a race where a Person fails to pay stake money when due or any Arrears.

65.2. A deletion under Paragraph 65.1 shall take effect immediately.

66. Payments by racecourse managing executive

66.1. Not later than the seventh day after a day's racing unless either the seventh day is a Saturday, Sunday, Bank Holiday, Good Friday or Christmas Day

when it must be on the next working day the Racecourse Managing Executive must pay to the Stakeholder

66.1.1. in a Sweepstakes

66.1.1.1. any Money Added to Stakes, and

66.1.1.2. where a Guaranteed Minimum Value has been advertised, any additional sum required;

66.1.2. in a Total Prize Fund race, any Money Added to Stakes;

66.1.3. any payment required under the controls for sponsorship which are for the time being approved by the Authority under Rule (A)80 (controls on advertising and sponsorship).

66.2. But Paragraph 66.1 shall cease to apply if the Racecourse Managing Executive is notified by the Stakeholder to make a payment at an earlier time subject to Rule 71.

66.3. No race shall be declared void on account of the Racecourse Managing Executive failing to pay the Stakeholder the sums under Paragraph 66.1.

PART 7 – PRIZES AND SETTLING OF ACCOUNTS

67. General

67.1. All prizes for a race shall be distributed in accordance with the provisions of this Part and in accordance with the conditions of the race.

68. Amount of prize money

68.1. In a Total Race Value Race, the amount of money to be distributed shall be

68.1.1. the Stakes; and
68.1.2. any Prize Money added to Stakes by the racecourse and distributed in accordance with Rule 69.

69. Distribution of prize money

69.1. Subject to Rules 70 and 71, the Prize Money shall be paid over by the Stakeholder in accordance with the provisions set out in the conditions of the race.

70. Dead-heats: prizes

70.1. This Rule applies in the event of two horses running a dead-heat for any place in connection with which a prize would otherwise be distributed in accordance with this Part.

70.2. All prizes to which each category of Persons connected with the first and second horses would have been entitled must be divided equally between such Persons.

70.3. Paragraph 70.2 applies to the division of any prize, whatever the number of dead-heaters and whatever the place for which the dead-heat is run.

70.4. If the dividing Owners cannot agree as to which of them is to have a cup or other prize which cannot be divided, the Racecourse Managing Executive shall determine the question by lot.

71. Races with more prizes than declared runners before race is declared Off

71.1. For the purposes of this Rule, the relevant period is the period that

71.1.1. starts immediately after the deadline determined in accordance with Part 6 for making declarations to run, and
71.1.2. ends when the race is declared Off (see Rule (B)37).

71.2. If, at any time during the relevant period, the number of declared runners for a race is less than the number of placings to which money or prizes are due to be allocated

71.2.1. a sum equivalent to the surplus of all money or prizes that would otherwise be payable under Rule 69 in connection with each unallocated placing shall not be paid, and

71.2.2. that sum shall be retained by the Racecourse Managing Executive from the amount due from them in relation to the Total Prize Fund for the race.

71.3. So, for example, if there are only two declared runners for a race with money or prizes for the first three horses, the sum to be retained in accordance with Paragraph 71.2 is equivalent to all money or prizes payable under Rule 69 to the Persons connected with the horses placed third.

72. Races with more prizes than finishers

72.1. Once a race is declared Off, Rule 71 applies to any reduction, or further reduction, in the number of runners in a race which has the result that the number of finishers is less than the number of placings to which money or prizes are due to be allocated under Rule 69.

73. Crediting of money due

73.1. At the end of the period of 15 days after the race, the Stakeholder shall credit the following money to each Person entitled to it

73.1.1. all stakes,

73.1.2. all added money,

73.1.3. all sums due in respect of horses sold or bought in in a Selling Race.

73.2. Paragraph 73.1 is subject to exemptions provided by Rule 74.

74. Exemptions from payments to be made under Rule 73

74.1. The Stakeholder may not credit a Person with any money in such circumstances, and to such extent, as the Authority may specify.

74.2. The Stakeholder must not credit a Person with any money in any case where Rule (B)74.2 applies (consequences where an objection is made).

74.3. The Stakeholder must not credit a Person with any money where

74.3.1. his entitlement to the money results from a decision by the Authority to disqualify a horse or alter its placing,

74.3.2. the Authority's decision is the subject of an appeal to an Appeal Board under Part (A)7, and

74.3.3. the appeal is not yet finally determined.

74.4. The Stakeholder must not credit a Person with any money where his entitlement to it arises in connection with

74.4.1. a horse which is the subject of an examination by order of the Stewards under Part (B)1 (the Stewards), and
74.4.2. the race in respect of which the examination was ordered or any subsequent race in which the horse has run

until authorised to do so by the Authority.

74.5. The Stakeholder must not credit a Person with any money where his entitlement to it arises in connection with

74.5.1. a horse which is the subject of an examination by an approved Person authorised to enter the Trainer's premises under Part (A)5 (enquiries and investigations), and
74.5.2. any race after the examination in which the horse has run

until authorised to do so by the Authority.

74.6. The Stakeholder must not credit a Person with any money where the Racecourse Managing Executive has not paid to the Stakeholder the sums due under Rule 66.1 other than sums due in respect of horses sold or bought in in a Selling Race

until such time as the outstanding sums have been paid.

74.7. In the event of the Racecourse Managing Executive failing to make payment to the Stakeholder as required by Rule 66.1, all stakes and other sums accrued will be distributed in accordance with Rule 69 but not before at least 30 days have elapsed from the day of the race.

PART 8 – HANDICAPPING, PENALTIES, QUALIFICATIONS AND ALLOWANCES

75. Making a handicap

75.1. For the purposes of these Rules

75.1.1. a Handicapper is a person appointed by the Authority to be a handicapper for one or more race meetings;
75.1.2. a Handicap Rating is the number allocated to a horse by the handicapper on his assessment of that horse's performances;
75.1.3. Weight-For-Age Scale means the weight-for-age scale of the British Horseracing Authority's Rules of Racing in force from time to time.

75.2. In making a handicap in relation to a horse for the purposes of a Handicap Race, a Handicapper shall allot the weights to be carried by the horse in accordance with

75.2.1. the Handicap Rating of the horse, and
75.2.2. such of the following as apply to the race

75.2.2.1. where the race is a flat race, Rules 23 to 27,
75.2.2.2. where the race is a Jump Race, Rules 31 to 35,
75.2.2.3. where the race is for horses of more than one age, the appropriate weight for age allowances specified in Part 2, 3, 4 or 5 of the Weight-For-Age Scale,
75.2.2.4. where the race is for horses of a single age and any horse running in the race is foaled in the southern hemisphere in the period starting on July 1st and ending on December 31st, a deduction which represents the difference between, on the one hand, the appropriate weight for age allowance specified in Part 2 or 3 of the Weight-For-Age Scale and, on the other, the appropriate weight for age allowance specified in Part 4 or 5 of the Weight-For-Age Scale, and
75.2.2.5. where the race is for horses of more than one age, horses foaled in the period starting on January 1st and ending on June 30th in the southern hemisphere shall receive the appropriate weight for age allowances specified in Part 4 or 5 of the Weight-For-Age Scale.

76. Publication of handicap ratings

76.1. Handicap ratings shall be published on the Authority's web-site.

76.2. For the purpose of determining whether a horse qualifies for a race, a Handicap Rating which is published on or after Tuesday of a particular week shall, unless the Authority directs otherwise, apply for races closing on that Tuesday through to the following Monday.

77. Publication of handicap weights for a race

77.1. The weights for a Handicap Race shall be published on the web-site of the Racecourse Managing Executive where the race is due to take place.

77.2. A weight may not be altered after publication except in accordance with Paragraphs 77.4 and 77.5.

77.3. The Authority may permit

77.3.1. a weight to be added for a horse where the horse was duly entered for the race but its name or weight was omitted from the Handicap, or

77.3.2. a correction to be made where an incorrect weight has been published.

78. Penalties

78.1. Schedule 4 contains provisions which have effect for the purposes of calculating penalties to be carried for winning a race.

79. Races relevant to calculation of penalties, allowances and qualifications

79.1. In respect of any flat race, no account shall be taken in calculating any penalty or allowance of

79.1.1. any Private Sweepstakes,

79.1.2. any Match,

79.1.3. any Jump Race run under these Rules or the Rules of a Recognised Racing Authority,

79.1.4. any national hunt flat race run in Great Britain or Ireland (for this purpose national hunt flat race is as defined in the rules of racing of the British Horseracing Authority), or

79.1.5. any French AQPS race.

79.2. In respect of any flat race, no account shall be taken in calculating the qualification of a horse of

79.2.1. any Private Sweepstakes,

79.2.2. any Match,

79.2.3. any Jump Race run under these Rules or the Rules of a Recognised Racing Authority,

79.2.4. any national hunt flat race run in Great Britain or Ireland (for this purpose national hunt flat race is as defined in the rules of racing of the British Horseracing Authority), or

79.2.5. any French AQPS race.

79.3. In respect of any Jump Race, no account shall be taken in calculating any penalty or allowance or the qualification of a horse of

79.3.1. any flat race run under these Rules or the Rules of a Recognised Racing Authority,
79.3.2. any Private Sweepstakes,
79.3.3. any Match,
79.3.4. any national hunt flat race run in Great Britain or Ireland (for this purpose national hunt flat race is as defined in the rules of racing of the British Horseracing Authority), or
79.3.5. any French AQPS race.

80. Weight allowances for horses

80.1. All weight allowances for a horse are cumulative unless otherwise specified.

80.2. Fillies and mares shall, unless the Authority directs otherwise, receive the following allowances

80.2.1. 3lb in respect of a flat race which is a Conditions Stakes;
80.2.2. 5lb in respect of a Jump Race which is a Conditions Race.

80.3. Paragraph 80.2 does not apply to any Handicap.

80.4. Subject to the minimum weights permitted for the race by Rules 21.3 or 29.2, horses foaled in the period starting on July 1st and ending on December 31st in the southern hemisphere shall receive the following allowances

80.4.1. in respect of a race for horses of more than one age, the appropriate southern hemisphere weight for age allowance in Part 4 or 5 of the Weight-For-Age Scale, and
80.4.2. in respect of a race for horses of a single age, a deduction of weight that represents the difference between, on the one hand, the appropriate weight for age allowance specified in Part 2 or 3 of the Weight-For-Age Scale and, on the other, the appropriate weight for age allowance specified in Part 4 or 5 of the Weight-For-Age Scale.

80.5. No horse shall receive an allowance of weight, nor be relieved from extra weight, for having been beaten in one or more races, but this does not prohibit Maiden allowances or allowances to horses that have not won within a specified time, or have not won races of a specified value or distance.

PART 9 - SUPPLEMENTARY

81. Notices, directions and other documents

81.1. Unless the context otherwise requires, Rules (A)91 (the giving of notices etc), (A)92 (the giving of notices etc in electronic form) and (A)93 (deemed service of documents) have effect in relation to any notices, directions or documents which are authorised or required to be given or sent under the provisions.

82. Computation of time

82.1. Except where these Rules provide otherwise, when the specified period for doing any act at the Authority's Office ends on a day on which the office is closed, that act will be done in time if done by 5.00pm on the next day on which the Authority's Office is open.

83. Arrangement of Rules into Manuals

83.1. These Rules are made up of the following Manuals

83.1.1. The General Manual (A)
83.1.2. The Race Manual (B)
83.1.3. The Trainer Manual (C)
83.1.4. The Rider Manual (D)
83.1.5. The Horse and Owner Manual (E)
83.1.6. The Race Administration Manual (F)

83.2. Each Manual is of equal standing and the fact that these Rules are divided into Manuals does not affect the weight of any requirements specified in each Manual.

84. Meaning of rider

84.1. Unless the context otherwise requires, any reference in this Manual to rider (without more) is to

84.1.1. a Jockey,
84.1.2. a Person who holds an amateur rider's licence granted by the Authority, or
84.1.3. an Overseas Rider,

who is not suspended from riding by the Authority or by a Recognised Racing Authority.

85. References to Jockeys

85.1. In this Manual, unless the context otherwise requires, Jockey means a Person who holds a professional riding licence granted by the Authority.

86. Reference to other types of rider

86.1. In this Manual, unless the context otherwise requires, Overseas Rider

86.1.1. means a Person who is authorised by a Recognised Racing Authority to ride under the rules of that Racing Authority (whether by grant of a licence, permit or otherwise), but

86.1.2. does not include a Person who also holds a riding licence granted by the Authority.

86.2. In this Manual, unless the context otherwise requires

Amateur Rider means

86.2.1. a Person who holds an amateur rider's licence granted by the Authority, or

86.2.2. an Overseas Rider whose authorisation by a Recognised Racing Authority corresponds to such a licence and who rides in a race under these Rules;

Professional Rider means

86.2.3. a Jockey, or

86.2.4. an Overseas Rider whose authorisation by a Recognised Racing Authority corresponds to a professional riding licence granted by the Authority and who rides in a race under these Rules.

86.2.5. An Overseas Rider who holds an Apprentice, Conditional or other type of riding licence issued by a Recognised Racing Authority which permits that Overseas Rider to be remunerated for riding in races shall be treated as holding a professional riding licence for the purposes of paragraph 88.2.4.

87. Meaning of owner in relation to a horse trained in the Channel Islands

87.1. Unless the context otherwise requires, references in this Manual to the Owner, in relation to a horse trained in the Channel Islands

87.1.1. in relation to a horse in the ownership of Sole Owner, are to the individual,

87.1.2. in relation to a horse in the ownership of a Recognised Company, are to the company,

87.1.3. in relation to a horse in the ownership of a Syndicate, are to each Syndicator,

87.1.4. in relation to a horse subject to a Partnership, are to each Partner,

87.1.5. in relation to a horse in the ownership of a Racing Club, are to each Club Manager, and

87.1.6. in relation to a horse subject to any leasing or other arrangement which does not fall within the provisions of Paragraphs 87.1 to 151.5, are to the lessee or lessees under the arrangement,

and any such reference to an owner also includes a part-owner.

88. Other definitions

88.1. Definitions of

88.1.1. terms used in only one Rule are set out in that Rule, and
88.1.2. terms used throughout this Manual are set out in Rules 84 to 86 and in the following provisions of this Rule.

88.2. In this Manual, unless the context otherwise requires

Approved Laboratory has the meaning given in Rule 37;
Arrears means any sum due to be paid by virtue of these Rules and includes any unpaid stake;
Authorised agent
88.2.1. in the case of a recognised company, means the registered agent of the company under Rule (E)36;
88.2.2. otherwise, means any person authorised by the Authority to act as agent or sub-agent for another person in exercise of the Authority's powers under Rule (A)84;
the Authority means the Channel Islands Horseracing Authority;
the Authority's Office means the office for the time being appointed by the Authority as the office of the Channel Islands Horseracing Authority (the present address is Apartment 1, Sable Argente Apartments, La Route De St. Aubin, St. Helier, Jersey JE2 3SF);
Chief Steward means an official who acts at race meetings in connection with the conduct of the meeting;
Club Manager means the individual appointed in accordance with Rule (E)53.4
Disciplinary Action means any action taken by the Authority Part (A)6 or by the Stewards under Part (B)1;
Disqualified Person means a person who is for the time being a Disqualified Person pursuant to
88.2.3. these Rules,
88.2.4. any Rules of Racing previously in force, or
88.2.5. the Authority's Regulations for Point-to-Point Steeple Chases;
Equipment means a hood, blinkers, visor, eyeshield, eyecover or cheek pieces;
General Instructions means instructions relating to one or more racecourses which are issued to Racecourse Managing Executives by the Authority;
Handicap Race has the meaning given in Rule 3;
Handicapper has the meaning given in Rule 75;
Handicap Rating has the meaning given in Rule 75;

Jump Race means a steeple chase, hurdle race or National Hunt Flat Race;
Maximum Figure, in relation to a race, means the maximum number of horses permitted to start in the race, as specified in the conditions of the race;
National Hunt Flat Race means a race for horses on the flat which is run according to the weights allocated for a Jump Race;
Nominator, in relation to a horse which is entered for a race, means the person in whose name the horse is entered;
Open Handicap Race means a Handicap Race which is not restricted to horses with specific Handicap Ratings;
Owner has the meaning given in Rule 87;
Partner means an individual or entity whose name is registered in the register of Owners in accordance with Rule (E)42;
Partnership means an arrangement through which a horse is registered in accordance with Rule (E)42;
Person includes a body corporate;
Prescribed means prescribed by the Authority;
Racecourse Managing Executive means the person who holds a racecourse licence granted by the Authority under Part (F)2;
Racecourse Property means any property owned, used or controlled by the Racecourse Managing Executive;
Racing Club means an arrangement through which a horse is registered in accordance with Rule (E)53;
Recognised Company means a company which is for the time being registered in the register or owners under Rules (E)34 to (E)40;
Recognised Racing Authority means a racing authority of a country which is for the time being recognised by the Authority under Rule (A)83;
Registered Agent, in relation to such a company, means an agent of the company registered under Rule (E)36;
these Rules means all the Rules of racing, including provisions which are contained in any other Manual (see Rule 85);
Safety Factor in relation to a race, means the greatest number of horses which may be started in the race, as determined by the Inspector of Courses on an inspection of the racecourse under Rule 15;
Sole Owner means an individual whose name is registered in the register of Owners in accordance with Rule (E)31;
Stake and Stakeholder have the meaning given in Rule 61;
Stewards means Stewards of a meeting and shall, where the context allows, include a Chief Steward;
Syndicate means an arrangement through which a horse is registered in accordance with Rule (E)48;
Syndicator means the individual appointed in accordance with Rule (E)48;
Time of Entry, in relation to entries for a race means the time fixed for closing as advertised in the race programme but does not include any time set under Rule 45 for the acceptance of additional entries as a result of re-opening races at the entry stage;
Trainer means any person who holds a licence to train which is granted by the Authority or whose authorisation by a Recognised Racing Authority corresponds to such a licence (which includes a permit);

Unrecognised Meeting means a meeting which

88.2.6. if it is held in the Channel Islands, has not been recognised and granted fixtures by the Authority, and

88.2.7. if it is held elsewhere, has not been authorised by a Recognised Racing Authority,

Veterinary Officer means a registered veterinary surgeon engaged by the Authority;

Veterinary Surgeon means any qualified veterinary practitioner appropriately registered to practice;

Weight-For-Age Scale means the weight-for-age scale of the British Horseracing Authority's Rules of Racing in force from time to time.

88.3. Words importing the masculine gender include the feminine.

88.4. The singular includes the plural (and vice versa).

Schedule 1 - Requirements for horse to enter race

1. Requirements as to the horse

1.1. The horse must be the produce of a natural service or covering.

1.2. The sire and dam of the horse must have been the produce of a natural service or covering.

1.3. The horse must

1.3.1. have had a natural gestation in the body of the mare in which it was conceived; and

1.3.2. have been delivered from that body.

1.4. Natural service or covering means the physical mounting of a mare by a stallion and which can include the immediate re-inforcement of the stallion's service or cover by a portion of the ejaculate produced by that stallion during that service or cover of that same mare.

1.5. A horse foaled in Great Britain, Ireland or the Channel Islands may be entered for a race only if its name is entered on the register of horse names in accordance with Chapters 2 or 3 of Part (E) (registration of names of horses trained in the Channel Islands).

1.6. Where the name of the horse has been changed, the change must have been made in accordance with the requirements of Chapters 2 or 3 of Part (E).

1.7. A horse which was foaled outside Great Britain, Ireland or the Channel Islands, and which is also trained or domiciled outside those countries, may be entered for a race only if it is registered with one of the Stud Book Authorities listed in Schedule (E)2 and its name is duly registered by the Recognised Racing Authority in the country of foaling.

1.8. This Paragraph applies to any horse which is trained in the Channel Islands.

1.8.1. The horse must have an identifying microchip.

1.8.2. Where the horse has an identifying microchip, the microchip must have been implanted by a Veterinary Surgeon.

1.8.3. At any time when a horse which has been declared to run is on Racecourse Property, a Veterinary Officer may examine it for confirmation of the presence of an identifying microchip.

1.9. The horse must satisfy any other requirement of these Rules that have effect as to the qualification of a horse to enter a race.

1.10. The horse must not be the subject of a Non-Racing Agreement registered under Rule (E)25.

1.11. In relation to a horse foaled in the Channel Islands,

1.11.1. Notification of the horse must have been made to the Authority in accordance with Rule (G)1.2.1 (Equine Anti-Doping Rules requirements), and

1.11.2. the Horse must have been registered with the Authority for entry into the Channel Islands Stud Book.

2. Requirements as to ownership and training

2.1. In relation to a horse trained in the Channel Islands, the name of the owner must be entered in the register of Owners maintained by the Authority.

2.2. In relation to a horse trained outside the Channel Islands, the name of the owner must have been recorded in a corresponding manner by the Racing Authority of the country in which the horse is trained.

2.3. The horse must not be in the ownership or part-ownership of a Disqualified Person.

2.4. The horse must not be in the stable of, or under the care and management of a Disqualified Person.

2.5. Paragraph 2.4 does not apply where

2.5.1. the Disqualified Person is neither the owner nor part-owner,

2.5.2. his disqualification was incurred under Rule (A)59 (person whose name appears on the Forfeit List), and

2.5.3. not more than fourteen days have elapsed since the date of publication of the disqualification in the Forfeit List.

3. Requirements as to the race

3.1. The horse must meet the conditions applying to the race.

3.2. The horse must not be subject to a suspension imposed by the Authority or by a Recognised Racing Authority which prevents it from running in the race.

3.3. For the purposes of Paragraph 3.2

3.3.1. entries for races may be accepted during the period of suspension of the horse if the race is due to be run outside that period, and

3.3.2. in the case of suspension by a Recognised Racing Authority, the suspension shall be regarded as immediately effective unless the Authority declares otherwise on the application of the owner or Trainer of the horse.

3.4. The owner or Trainer may not make an application under Paragraph 3.3.2 unless all appeal procedures available under the Rules of the Recognised Racing Authority have been exhausted.

3.5. The application must be made in writing to the Authority's Office before the end of the period of 48 hours starting with the day after that on which

3.5.1. any appeal under the Rules of the Recognised Racing Authority was finally dismissed, or

3.5.2. if there is no procedure for appeal, the order for suspension was made.

3.6. When that period ends on a day on which the Authority's Office is closed, the application will be made in time if done by 5.00pm on the next day on which the Authority's Office is open.

3.7. The Authority may also consider an application made after that time if the owner or Trainer satisfies the Authority that it was not possible to comply with Paragraphs 3.5 or 3.6 and that the application was made at the earliest opportunity.

3.8. The horse must not have run at an Unrecognised Meeting (other than one which has been granted an exemption under Rule (A)31 (participation at unrecognised meetings)).

(This list of requirements for entry for a race also appears in Schedule (B)2.)

Schedule 2 - Notifying non-runners after declaration to run stage

1. Notification

1.1. Where a horse which is declared to run under Part 6 is to be a non-runner, the Trainer must immediately notify that fact in accordance with Paragraphs 2 and 3 of this Schedule.

2. Notifying the Authority

2.1. Where the decision is made more than 2 hours before the time of the first race at a meeting where the horse was declared to run that the horse will be a non-runner, the Trainer must, without delay and without exception,

2.1.1. inform the Authority, or
2.1.2. if the Authority has delegated the processing of declarations to a Racecourse Managing Executive, to that Racecourse Managing Executive.

3. Notifying the Clerk of the Scales

3.1. Where the decision is made less than 2 hours before the time of the first race at a meeting where the horse was declared to run that the horse will be a non-runner, the Trainer or his representative must notify the Clerk of the Scales.

3.2. The Trainer or his representative must sign a form indicating that the horse is a non-runner and hand it to the Clerk of the Scales.

3.3. The Clerk of the Scales shall cause an official announcement to be made at the racecourse.

4. Non-Revocation

4.1. Where notification of a non-runner has been made in accordance with this Schedule

4.1.1. an official announcement shall be made at the relevant racecourse,
4.1.2. the non-runner notification may not be cancelled, and
4.1.3. the horse shall not be permitted to run.

5. Veterinary certificates

5.1. Paragraphs 5.2 and 5.4 of this Schedule apply for the purposes of Rule 55.2.

5.2. All veterinary certificates relating to non-runners must be received within 7 days of the race by the Authority. Each certificate must clearly state

5.2.1. the date, time and place of the veterinary examination,

5.2.2. the meeting and date for which the horse has been declared to run,
5.2.3. the abnormal clinical findings which render the horse unfit to race,

and must be signed by a Veterinary Surgeon.

5.3. The certificate must be completed by a Veterinary Surgeon who is unrelated to and independent of the Owner of the horse, the Trainer of the horse and any Person employed by the Trainer.

5.4. When a horse is brought to the racecourse but is considered to be unfit to race only a veterinary certificate issued by a Veterinary Officer shall be acceptable.

5.5. Any such certificate will be forwarded to the Authority.

Schedule 3 – Elimination or balloting procedures

1. Part A

1.1. Part A of this Schedule applies to all Handicaps.

1.2. Elimination will occur in the following sequence

1.2.1. Horses with the lowest weights and horses which have incurred a penalty - commencing at the bottom of the list but disregarding horses which have incurred penalties unless it is necessary to eliminate these horses at their new weights.

1.2.2. Horses with the same weights - where it is necessary to eliminate some but not all horses with the same weights, those that have incurred penalties will be eliminated first and those without penalties will be eliminated in the published ascending order, such order having been determined by random balloting. Penalised horses with the same weights will also be eliminated in the published ascending order determined by random balloting, unless the horses concerned have different penalties in which case the horse with the lower penalty will be given priority. Any horse previously omitted from a Handicap or given an incorrect weight as provided for under Rule 77 will be added randomly to any horses on the same weight and such horse will be subject to elimination, if necessary, in accordance with that revised random order.

2. Part B

2.1. Part B of this Schedule applies to all Conditions Stakes and Conditions Races.

2.2. Elimination will occur in the following sequence

2.2.1. Horses which, in the opinion of the Handicapper, have the lowest Handicap Rating or, if they do not qualify for an official rating under these Rules, have the lowest assessment made by the Authority's Handicapper based on races run up to and including the day before that on which entries closed.

2.2.2. Random balloting will apply where horses have identical Handicap Ratings or assessments.

2.2.3. Horses which have not run or horses which the Handicapper is not willing to allot a rating or give an assessment to will be eliminated first by random ballot.

3. Part C

3.1. Part C of this Schedule applies to all Classified Stakes and Classified Races.

3.2. Elimination will occur in the following sequence

3.2.1. Horses which, in the opinion of the Handicapper, have the lowest Handicap Ratings based on races run up to and including the day before that on which entries closed.

3.2.2. Random balloting will apply where horses have identical Handicap Ratings.

Schedule 4 - Penalties

1. Provisions applying to all penalties

1.1. If in the conditions of a race a fixed penalty has to be carried for winning a race any horse which runs a dead-heat for the race shall carry the penalty as if the race had been won outright.

1.2. Penalties are not cumulative unless so declared by the conditions of the race.

1.3. No penalty shall be incurred in a Handicap Race in respect of winning a walk-over in the Channel Islands.

1.4. No horse shall carry extra weight for having run second or in any lower place in a race.

Index of defined Expressions

1. This index provides a guide to words or expressions which are defined generally and so liable to be met in provisions which do not themselves contain the definition.

2. Where a term is used only in one Rule (or in a series of closely connected Rules), the definition will be set out in that Rule. Always check the Rule itself before looking at this index.

3. Where a term is used in more than one place, it will start with a capital letter. The second column of this index tells you where you can find the substantive definition.

4. This index is not part of these Rules.

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