CHANNEL ISLANDS HORSERACING AUTHORITY – (E) HORSE AND OWNER MANUAL

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PART 1 – INTRODUCTORY

1. Introductory

1.1. Part (A)3 provides that a horse trained in the Channel Islands is not qualified to be entered for or start in any race under these Rules unless

1.1.1. the name of the horse is entered on the register of horse names maintained by the Authority, and

1.1.2. the name of the owner is entered in the register of owners maintained by the Authority.

1.2. In this Manual

1.2.1. Part 2 provides for the registration of horse names and imposes specific requirements applying to any horse trained in the Channel Islands, and

1.2.2. Part 3 provides for the registration of the names of the owner of any horse trained in the Channel Islands, registration of an owner's racing colours and certain other requirements applying to owners.

1.3. A Person is liable to Disciplinary Action if

1.3.1. he contravenes a requirement imposed on him by or under these Rules,

1.3.2. any provision of these Rules states that he is taken to have contravened any such requirement, or

1.3.3. any provision of these Rules states that he is liable to Disciplinary Action.

1.4. Disciplinary action may also be taken against a Person in any of the circumstances specified in Rules (A)56 to (A)62.

PART 2 – HORSES

Chapter 1 – Interpretation

2. Scheme

2.1. In Part 2, unless the context otherwise requires

Export Certificate, in relation to a horse foaled outside Great Britain, Ireland or the Channel Islands, means a certificate of pedigree for the horse which

2.1.1. states the name, pedigree, age, sex, colour and markings of the horse, and

2.1.2. is issued and endorsed by a Recognised Stud Book Authority or, in the absence of such an Authority, the Recognised Racing Authority of the country in which the horse was foaled, and

2.1.3. is endorsed by the Stud Book Authority or Racing Authority of any other country which the horse has visited before importation into Great Britain;

and in relation to a horse foaled in Great Britain, Ireland or the Channel Islands which has been permanently exported to another Racing Authority means the above certificate of pedigree issued prior to its first export and endorsed by each Racing Authority that the horse has been permanently exported to.

Horse includes mare, gelding, colt, and filly and for the purposes of Rule 17 includes a pony, mule, ass or hinny;
Microchip means a microchip approved by the Stud Book Authority of the Channel Islands or of Great Britain and Ireland;
Non-Thoroughbred Register means the work authorised to be published under that name by the Authority;
Racecourse Managing Executive means the person who holds a racecourse licence granted by the Authority under Part (F)2;
Racecourse Property means any property owned, used or controlled by the Racecourse Managing Executive;
Stud Book means the register of the breeding of thoroughbred horses in their country of origin;
Veterinary Officer means a registered veterinary surgeon engaged by the Authority;
Veterinary Surgeon means any qualified veterinary practitioner appropriately registered to practice.

Chapter 2 – Registration of names of horses in training in the Channel Islands

3. The register of names of horses trained in the Channel Islands

3.1. Where the Authority receives an application in respect of a horse trained in the Channel Islands which is made in accordance with Rules 4 to 7, the

Authority may approve the application and enter the name of the horse in the register of horse names.

3.2. A name which is registered in respect of a horse shall be the horse's name for the purposes of these Rules.

3.3. Each application to register a name must be accompanied by the appropriate fee specified in Schedule (A)1.

4. Making an application to register a horse name

4.1. An application to register the name of a horse must be made using the Prescribed form.

4.2. The application must

4.2.1. contain such information as the form may require,
4.2.2. be accompanied by the appropriate supporting documents in accordance with Rule 5 or 6, and
4.2.3. be signed by or on behalf of the Owner or Trainer.

4.3. In determining whether to approve an application, the Authority may have regard to such matters as it may consider appropriate.

5. Supporting documents: horse foaled in Great Britain, Ireland or Channel Islands

5.1. This Rule applies to an application to register the name of a horse in any case where the horse was foaled in Great Britain, Ireland or the Channel Islands.

5.2. The application to register the horse's name must be accompanied by

5.2.1. its passport (except where the horse happens to be outside Great Britain, Ireland or the Channel Islands at the time the application is made), and
5.2.2. such other documents as may be required under Paragraph 5.3 or 5.4.

5.3. In any case where the horse's passport does not already contain evidence from a Veterinary Surgeon that a Microchip has been implanted, the application must also be accompanied by a statement from a Veterinary Surgeon that the horse has been implanted with an identifying Microchip.

5.4. In any case where the Person who signs the application is unable to confirm the markings of the horse shown in the passport, the application must also be accompanied by an age and markings certificate that complies with Rule 7.1.

5.5. In this Rule references to a horse's passport are to the approved diagrammatic document of identity for the horse which is issued

5.5.1. by the Stud Book Authority of Great Britain and Ireland in accordance with such directions as may be given by the Authority, or

5.5.2. in such other manner as the Authority may specify.

6. Supporting documents: horse foaled elsewhere

6.1. This Rule applies to an application to register the name of a horse in any case where the horse was foaled in a country other than Great Britain, Ireland or the Channel Islands.

6.2. The application must be accompanied by

6.2.1. the passport (if any) issued for that horse, and

6.2.2. an age and markings certificate that complies with Rule 7.1.

6.3. The application must also be accompanied by a statement from a Veterinary Surgeon that the horse has been implanted with an identifying Microchip in any case where the horse is trained in the Channel Islands and

6.3.1. the horse's passport does not already contain evidence from a Veterinary Surgeon that such a Microchip has been implanted, or

6.3.2. the horse has no passport.

6.4. In this Rule references to a horse's passport are to the approved diagrammatic document of identity for the horse which was issued on the authority of a Recognised Racing Authority.

7. Age and markings certificates

7.1. An age and markings certificate in respect of a horse is a certificate signed by a Veterinary Surgeon which

7.1.1. specifies the markings of the horse, and

7.1.2. states the age of the horse.

7.2. The Veterinary Surgeon must satisfy the following conditions:

7.2.1. The Veterinary Surgeon must not be

7.2.1.1. the Owner of the horse or any person related to the Owner of the horse;

7.2.1.2. the Trainer of the horse or any person related to the Trainer of the horse; or

7.2.1.3. a Person employed by the Trainer.

7.3. The Veterinary Surgeon must be independent of the Owner and Trainer of the horse.

7.4. Where the Authority is not satisfied that the Veterinary Surgeon is independent of the Owner and the Trainer of the horse, it may reject any age and markings certificate. This Paragraph applies whether or not the Authority subsequently commences an enquiry to consider possible Disciplinary Action.

7.5. Where an age and markings certificate is required in support of an application to register the name of a horse, the Authority must compare the certificate with

7.5.1. if the horse was foaled in Great Britain, Ireland or the Channel Islands, the markings first recorded for the horse in the Stud Book maintained by the Stud Book Authority of Great Britain and Ireland;
7.5.2. if the horse was foaled elsewhere, the markings contained in its Export Certificate.

7.6. The Authority must not approve the application if

7.6.1. it considers that there is a discrepancy between the age and markings certificate and the document specified in Paragraph 7.5 or 7.6.2, and
7.6.2. the horse's identity cannot be verified by a blood or a DNA test.

7.7. If the delivery of the Export Certificate for a horse has been delayed, the Authority may provisionally approve an application subject to subsequent verification of the horse's identity.

7.8. The appropriate fee specified in Schedule (A)1 is payable by an applicant for the carrying out of

7.8.1. a comparison required by Paragraph 7.5, or
7.8.2. a test for the purposes of Paragraph 7.6.2,

whether or not the identity of the horse is verified as a result.

8. Requirements for an application to be approved

8.1. Unless the Authority direct otherwise an application for registration will only be accepted if

8.1.1. it has received all the documents required by Rules 4 to 7, and
8.1.2. it is satisfied that each of the following conditions is met in respect of the application.

8.2. The first condition is that

8.2.1. the horse's identity has been properly verified, and
8.2.2. the horse has been implanted with an identifying Microchip.

8.3. The second condition is that the horse has been accepted for inclusion in

8.3.1. the Stud Book maintained by the Stud Book Authority of Great Britain and Ireland,
8.3.2. a Stud Book approved by the International Stud Book Committee (see Paragraph 8.6),
8.3.3. the Non-Thoroughbred Register, or
8.3.4. the Autre Que Pur Sang (AQPS Register).

8.4. The third condition is that the name of the horse

8.4.1. has been determined in accordance with Schedule 1, and
8.4.2. has not been reserved under Rule 11.

8.5. The fourth condition is that the horse, and its sire and dam, are each the produce of a natural service or covering and that, in the course of breeding the horse

8.5.1. a natural gestation took place in the body of the mare in which the foal was conceived, and
8.5.2. the foal was delivered from the mare's body.

8.6. Schedule 2 lists the countries in respect of which Stud Books have been approved by the International Stud Book Committee.

8.7. In Paragraph 8.5, **Natural Service or Covering** means the physical mounting of a mare by a stallion and which can include the immediate re-inforcement of the stallion's service or cover by a portion of the ejaculate produced by that stallion during that service or cover of that same mare.

9. Registration of the name

9.1. Where the Authority approves an application it shall register the name of the horse.

9.2. In respect of a horse which was foaled outside Great Britain or the Channel Islands

9.2.1. a letter code shall be added to the register to denote the country of foaling, and
9.2.2. this shall form part of the registered name.

9.3. The registration of a name is void if

9.3.1. after registration, it appears to the Authority that any of the conditions specified in Rule 8 were not satisfied at the time of registration, and
9.3.2. the Authority directs that the registration shall be void.

9.4. The registration of a name is suspended if

9.4.1. after registration, it is found that that the horse's breeder made an inaccurate, misleading or fraudulent declaration at the time of registration with the Stud Book of Great Britain and Northern Ireland, and

9.4.2. the Authority directs that the registration shall be suspended.

10. Changing a name

10.1. A name that is registered for a horse may be changed but only in the following circumstances

10.1.1. the Authority decides to correct an incorrect name which has been published in error, or
10.1.2. an application to register a new name is made to, and approved by, the Authority under this Rule.

10.2. The Authority may approve an application to register a new name for the horse if the Authority has received all the documents required by Rules 4 to 7 and is satisfied that

10.2.1. each of the conditions for approval specified in Rule 8 are met in respect of the application, and
10.2.2. Paragraph 10.3 does not prevent it from giving its approval.

10.3. No approval may be given if

10.3.1. the horse has run under these Rules, the rules of a Recognised Racing Authority or in a Point to Point Steeple Chase run in Great Britain or Ireland, or
10.3.2. the name of the horse is also registered by a Recognised Racing Authority and that Racing Authority has not given its permission to the name change, or
10.3.3. the horse has been registered either as a broodmare or as a stallion in

10.3.3.1. the Stud Book maintained by the Stud Book Authority of Great Britain and Ireland,
10.3.3.2. the Non-Thoroughbred Register, or
10.3.3.3. any other Stud Book or non-thoroughbred register which is authorised to be published by a Recognised Racing Authority.

11. Fees for an application under Rule 10

11.1. An application under Rule 10 must be accompanied by the appropriate fee specified in Schedule (A)1.

Chapter 3 – Identity and vaccination of horses

12. Requirement for a horse trained in the Channel Islands to have a passport

12.1. Each horse trained in the Channel Islands must have a passport issued by a Stud Book Authority.

12.2. The purpose of that passport is to ensure that the horse can be identified at all times and, accordingly, the passport must always accompany the horse and be available for inspection.

12.3. In the event of failure to comply with Paragraph 12.2 in respect of a horse which is on Racecourse Property, the Trainer or the Owner of the horse are liable to Disciplinary Action.

12.4. Any passport is returnable on demand and is issued subject to the condition that all the requirements of these Rules relating to passports must be complied with.

13. Issue and replacement of a passport

13.1. Where the Authority registers the name of a horse under this Part, the Stud Book Authority shall revise and re-issue any passport for the horse that it received under Rule 5 or 6.

13.2. The Stud Book Authority may issue a passport for a horse in such other circumstances as it may consider appropriate.

13.3. A passport is invalidated if the seal (spine) is damaged or broken.

13.4. The appropriate fee specified in Schedule (A)1 is payable for

13.4.1. the initial issue of a passport under this Rule,
13.4.2. its revision or reissue, or
13.4.3. the replacement of a lost passport.

14. Identity checks for horses trained in the Channel Islands

14.1. An identity check must be carried out on any horse which is trained in the Channel Islands in any case where the horse has been declared to run in a race under Rule (F)50 and

14.1.1. the race is the horse's first in the Channel Islands,
14.1.2. the race is the horse's first since changing Trainer, or
14.1.3. the horse is required at the request of a Veterinary Officer for a routine vaccination or health check.

14.2. When the declaration sheet for the horse is verified in accordance with Rule (B)23 (verifying the declaration sheet), the horse and its passport must be available for inspection by a Veterinary Officer not less than three quarters of an hour before the advertised time for the race.

14.3. If the horse's passport is not available in accordance with Paragraph 14.2, the Trainer and the Owner of the horse are liable to Disciplinary Action and

14.3.1. in the circumstances specified in Paragraph 14.1.1 or 14.1.2, the horse is not permitted to run in any race under these Rules (see Paragraph 1.4 of Schedule (B)3);
14.3.2. in the circumstances specified in Paragraph 14.1.3, the horse may run if the Trainer confirms in writing that he holds the passport.

14.4. Where the requirements of Paragraph 14.2 are not complied with on the first occasion when they apply, they shall continue to apply until they are complied with.

14.5. Failure to present the correct horse to the Veterinary Officer as required by Paragraph 14.2 may result in Disciplinary Action being taken against the Trainer.

15. Identity check for horse trained outside the Channel Islands

15.1. This Rule applies each time a horse is to run in a race under these Rules where

15.1.1. the horse is trained in outside the Channel Islands, and
15.1.2. the declaration sheet for the horse is verified in accordance with Rule (B)23 (verifying the declaration sheet).

15.2. An identity check must be carried out in accordance with Paragraph 15.3 or 15.4 and, unless the requirements of those Paragraphs are complied with

15.2.1. the Trainer and the Owner of the horse are liable to Disciplinary Action, and
15.2.2. the horse will not be permitted to run in any race under these Rules (see Paragraph 2.1 of Schedule (B)3).

15.3. If the horse has been issued with a passport by a Recognised Racing Authority

15.3.1. the horse and its passport must be available for inspection by a Veterinary Officer not less than three quarters of an hour before the advertised time for the race, and

15.3.2. the Trainer must ensure that the requirements of Rule 23 are also met in relation to the horse.

15.4. If the horse has no passport

15.4.1. the horse must be presented to a Veterinary Officer, not less than three quarters of an hour before the advertised time for the race, and

15.4.2. the following documents must be lodged at the Authority's Office

15.4.2.1. an Export Certificate, and

15.4.2.2. an age and markings certificate for the horse which is signed in Great Britain or Ireland or the Channel Islands by a Veterinary Surgeon, and

the Trainer must ensure that the requirements of Rule 23 are also met in relation to the horse.

15.5. The age and markings certificate must be completed by a Veterinary Surgeon who is unrelated to and independent of the Owner of the horse, the Trainer of the horse and any Person employed by the Trainer.

16. Horses from outside the Channel Islands: export certificates and passports

16.1. Where a horse is imported into the Channel Islands to be trained in the Channel Islands, the Export Certificate for the horse must be received by the Authority before the horse may be declared to run in any race under these Rules, and within 90 days of arrival in the Channel Islands.

16.2. A horse which is trained outside the Channel Islands may not run for a period exceeding 90 days on the production of its passport alone.

16.3. For the purposes of Paragraph 16.2, the period of 90 days commences with the date when the passport is endorsed by the Recognised Racing Authority where the horse is trained and is valid for one journey only.

16.4. Where a horse is imported into the Channel Islands to be trained in the Channel Islands, before the horse may be declared to run in any race under these Rules, unless otherwise approved by the Authority, the Authority must be in receipt of a certificate of analysis issued by an Authority-approved Laboratory reporting no evidence of the presence or use of a prohibited substance or prohibited method prohibited at all times in a sample collected from the Horse in accordance with Schedule (G)2

Paragraph 4. All sample collection and analysis costs shall be borne by the applicant.

16.5. The Authority may from time to time exempt horses imported into the Channel Islands from certain Recognised Racing Authorities from being subject to the requirement in Rule 16.4.

17. Vaccinations against equine influenza

17.1. This Rule applies in respect of any horse which enters Racecourse Property apart from

17.1.1. any foal less than 6 months old whose dam was vaccinated in accordance with this Rule before foaling, or

17.1.2. horses crossing land which is common ground or is subject to statutory rights for public access for air and exercise.

17.2. The horse must have been vaccinated against equine influenza by a veterinary surgeon in accordance with Paragraphs 17.3 to 17.6.

17.3. The vaccines administered must either appear on the list of equine influenza vaccinations which is maintained by the Authority or be acceptable to a Veterinary Officer.

17.4. The horse must have received two primary vaccinations which are given not less than 21 days and not more than 60 days apart.

17.5. If sufficient time has elapsed, the horse must also have received

17.5.1. a booster vaccination which is given not less than 120 days and not more than 180 days after the second component of the primary vaccination, and

17.5.2. further booster vaccinations at intervals of not more than six months apart (or such lesser time as the Authority may, in an emergency, decide).

17.6. None of the vaccinations must have been given on the day of a race in which the horse is declared to run or on any of the 6 days before the race.

17.7. In the event of failure to comply with any of the requirements of this Rule in respect of a horse which is on Racecourse Property

17.7.1. unless the Stewards have given their permission the horse will not be permitted to run in any race; and

17.7.2. the Trainer or the Owner of the horse is liable to Disciplinary Action.

17.8. The Trainer or the Owner of the horse shall not be liable under Rule 17.7 when

17.8.1. the failure to comply with the requirements of this Rule occurred prior to the horse coming into the Trainer or Owner's care or control, and
17.8.2. a Veterinary Officer has subsequently inspected the non-compliant passport and incorrectly stamped it as satisfactory, and
17.8.3. the Trainer or the Owner has not previously been notified of the error.

18. Vaccination checks

18.1. For the purposes of determining whether the requirements of Rule 17 have been met, the following documents must be available for inspection in respect of a horse which is on Racecourse Property

18.1.1. any passport issued for the horse, or
18.1.2. the vaccination records for the horse.

18.2. Where the horse has a passport, the vaccination section of the horse's passport must have been completed by a Veterinary Surgeon or a Recognised Racing Authority.

18.3. Vaccination records for a horse which meet the conditions specified in Paragraph 18.4 may be relied on where

18.3.1. the horse is trained in a country that does not issue passports, or
18.3.2. no passport has been issued for a horse which is brought to the meeting as a companion animal.

18.4. The conditions are that the vaccination records include a combined identification and vaccination certificate signed by a Veterinary Surgeon which identifies the horse, states that it has received vaccinations against equine influenza and gives the dates of each vaccination.

18.5. The conditions in Paragraph 18.2 shall be regarded as not having been met if any entry in a passport of details of vaccination against equine influenza has been altered in any way other than where

18.5.1. an incorrect entry has been completely deleted, and
18.5.2. a new entry has been made and signed

18.5.2.1. by the Veterinary Surgeon who gave the vaccination, or
18.5.2.2. if that Veterinary Surgeon (or his representative) provided details of the vaccination to another Veterinary Surgeon, by that other Veterinary Surgeon.

18.6. Any passport or vaccination record must be completed by a Veterinary Surgeon who satisfies the following conditions:

18.6.1. The Veterinary Surgeon must not be:

18.6.1.1. the Owner of the horse or any person related to the Owner of the horse;
18.6.1.2. the Trainer of the horse or any person related to the Trainer of the horse; or
18.6.1.3. a Person employed by the Trainer; and

18.6.2. The Veterinary Surgeon must be independent of the Owner and Trainer of the horse.

18.7. Where the Authority is not satisfied that the Veterinary Surgeon is independent of the Owner and the Trainer of the horse, it may reject any passport or vaccination record. This Paragraph applies whether or not the Authority subsequently commences an enquiry to consider possible Disciplinary Action.

Chapter 4 – Racing outside country of training

19. Requirements for racing outside the Channel Islands

19.1. No horse which is trained in the Channel Islands may race outside the Channel Islands unless either

19.1.1. a racing clearance notification in respect of the race has been issued for the horse under Rule 20, or
19.1.2. any passport issued for the horse by a Recognised Racing Authority has been endorsed under Rule 21.

20. Racing clearance notifications

20.1. In this Rule, a racing clearance notification is a notification from the Authority to a Recognised Racing Authority

20.1.1. stating that the Trainer holds a licence to train granted by the Authority, and
20.1.2. stating that the name of the Owner of the horse is registered and does not appear on the Forfeit List, and
20.1.3. indicating whether the horse is free from restrictions that prevents it from racing.

20.2. Where a racing clearance notification is required for a horse in respect of a race, the request must be made to the Authority no later than two working days prior to the deadline for the pre-race day declaration for the race.

20.3. Where a notification is issued, it is valid only for the race or for the period stated on the notification.

20.4. If the notification is for a stated period

20.4.1. the period may not exceed 90 days,
20.4.2. a new notification must be requested if, during the period, there is any change to the Trainer or Owner of the horse, and
20.4.3. the horse may not remain outside the Channel Islands after the end of the period without first obtaining permission to do so from the Authority and requesting a new racing clearance notification.

20.5. Any request for a notification which is made under Paragraph 20.2 or 20.4.3 must be accompanied by the appropriate fee specified in Schedule (A)1.

20.6. Where a notification is issued for a horse to run in more than one race in the same country, the notification ceases to be valid when the horse leaves that country.

20.7. Where the horse is to race in more than one country

20.7.1. a notification may be issued by the Authority only in respect of races in the first country to be visited, and
20.7.2. in respect of any race in each subsequent country, a racing clearance notification must be requested from The Recognised Racing Authority of the country last visited by the horse which immediately precedes that in which the race is to be held.

20.8. A racing clearance notification referred to in Paragraph 20.7.2 will indicate only whether any new restrictions have been imposed on the horse to prevent it from racing and, if such restrictions are imposed, it will specify the details of the restrictions (with such details also being forwarded to the Authority).

21. Passport endorsement

21.1. This Rule applies only if a horse trained in the Channel Islands

21.1.1. is to race under the rules of a Recognised Racing Authority of a country other than the Channel Islands, and
21.1.2. may not do so in accordance with the rules of that Racing Authority unless the passport issued under Rule 13 in respect of the horse has been endorsed by the Authority.

21.2. The Trainer of the horse may apply to the Authority for endorsement of the horse's passport.

21.3. Any application for the endorsement of a passport must be accompanied by the appropriate fee specified in Schedule (A)1.

21.4. The passport may be endorsed at the Authority's Office or at such other place as the Authority may determine.

21.5. A passport is signed and dated on endorsement and the endorsement is valid for one month from that date.

21.6. If the horse does not travel beyond the Channel Islands, the Trainer (or his representative) must return the passport to the Authority's Office for cancellation.

22. Application for passport endorsement

22.1. Where an endorsement under Rule 21 is to be done at the Authority's Office, an application for endorsement must be submitted to the Authority together with

22.1.1. the passport issued under Rule 13 in respect of the horse,
22.1.2. the name of the Owner of the horse, and
22.1.3. the name of the country to which the horse is travelling.

23. Requirements for racing in the Channel Islands

23.1. No horse which is trained in outside the Channel Islands may race under these Rules unless

23.1.1. The Authority has received a racing clearance notification in respect of the race from a Recognised Racing Authority by the close of business the day prior to the day the horse is declared to run under Rule (F)50, or
23.1.2. the horse's passport has been endorsed by or on behalf of the Recognised Racing Authority of the country where the horse is trained; and
23.1.3. the horse has been present in the Channel Islands for a minimum of ten days before the race; and
23.1.4. the horse has provided a sample in that period which discloses no evidence of the presence or use of a prohibited substance or a prohibited method listed as prohibited in Schedule (G)1.

23.2. The sample must have been collected by the Authority and analysed by a Laboratory approved by the Authority.

23.3. For the purposes of Paragraph 23.1.1, a racing clearance notification is a notification

23.3.1. stating that the Trainer is duly qualified in the country of that Recognised Racing Authority,
23.3.2. stating that all requirements of the rules of the Recognised Racing Authority that apply to the owner of the horse are met, and

23.3.3. indicating whether the horse is free from any restriction that prevents it from racing.

23.4. A racing clearance notification or endorsement of a horse's passport must be valid and must be dated not more than 90 days before the race.

23.5. Failure to comply with any of the requirements of this Rule may result in Disciplinary Action being taken against the Trainer of the horse unless the Trainer satisfies the Authority or the Stewards that the failure was due to circumstances which they consider acceptable.

23.6. The Authority may from time to time exempt horses from certain Recognised Racing Authorities from being subject to the requirements in Paragraphs 23.1.3 and 23.1.4.

Chapter 5 – Miscellaneous

24. Reckoning the age of a horse

24.1. The age of any horse shall be reckoned as beginning on January 1st in the year in which it is foaled.

25. Registration of non-racing agreements

25.1. In this Rule, a Non-Racing Agreement is an agreement under which a horse which has been retired from racing by its Owner is transferred to another Person, but subject to a condition that the horse will not race again.

25.2. The Authority may register a Non-Racing Agreement only if a Form NRA1 is

25.2.1. in the Prescribed form,

25.2.2. signed by the Person transferring the horse and by the transferee, and

25.2.3. submitted to the Authority.

25.3. The Form NRA1 must be accompanied by the horse's passport.

25.4. Where a Non-Racing Agreement is registered, it shall be recorded by Authority and the horse's passport endorsed accordingly.

25.5. Where a Non-Racing Agreement is registered, the Authority will notify the Person who transferred the horse of any occasion on which the horse is reported as being in training or an attempt is made to enter it to run in a race under these Rules, whichever is the earlier, and will prevent the horse from being entered to run in any such race.

25.6. The Authority may cancel the registration of a Non-Racing Agreement

25.6.1. where it receives a written, and signed, notice of cancellation in the Prescribed Form NRA2, from all Persons who signed the Form NRA1, or

25.6.2. in such other circumstances as it considers appropriate.

25.7. The Authority will uphold requests from Recognised Turf Authorities to reciprocate an equivalent agreement, and will prevent any such horse from being entered or run in a race under these Rules.

26. Notification of neurectomy operations

26.1. In this Rule, a Neurectomy is defined as a procedure which permanently interferes with a specific and anatomically recognised nerve using surgery, cryosurgery, chemical interference or any other means resulting in desensitisation of any part of the limb.

26.2. When a horse that is under the care or control of a Licensed Trainer undergoes a Neurectomy, that Trainer must notify the Authority no later than 7 days after the horse has undergone the Neurectomy, and ensure that the surgeon endorses the horses' passport.

26.3. Where a horse that is not under the care or control of a Licensed Trainer undergoes a Neurectomy

26.3.1. the Owner must notify the Authority no later than 7 days after the horse has undergone the Neurectomy, and ensure that the surgeon endorses the horses' passport, and

26.3.2. in the event that the horse subsequently comes under the care or control of a Licensed Trainer, that Trainer must notify the Authority no later than 7 days after the horse has come under his care or control.

26.4. To establish a contravention of Paragraph 26.3.2 it is not necessary that knowledge of the Neurectomy on the part of the Licensed Trainer be demonstrated, although the Authority may decide not to take Disciplinary Action in respect of a contravention of Paragraph 26.3.2 if the Licensed Trainer satisfies the Authority that he took all reasonable precautions and exercised all due diligence to ascertain whether or not the horse had previously undergone a Neurectomy.

26.5. Notification of a Neurectomy to the Authority must be accompanied by the horse's passport.

26.6. When a Neurectomy is notified in accordance with this Rule, the Neurectomy shall be recorded by the Authority and the horse's passport endorsed accordingly.

26.7. A horse which has been subject to a Neurectomy shall be permanently ineligible to race.

27. Retirement from racing under Rules

27.1. An Owner may notify the Authority of the permanent retirement of his horse from racing under the Rules of Racing through notification to the Authority using the Prescribed form as stipulated from time to time.

27.2. Notification pursuant to Paragraph 27.1 shall have the effect provided for in Manual (G).

27.3. The horse will remain ineligible to race under the Rules of Racing unless and until the following

27.3.1. the Authority's Office has received notification that the horse is seeking re-eligibility. Such notification shall be by the Prescribed form as stipulated from time to time, and can be submitted by the Owner who retired the horse pursuant to Paragraph 27.1 or another subsequent Owner; and

27.3.2. the horse and the Responsible Person(s) have complied with any requirements imposed by the Authority pursuant to Schedule (G)2, providing for whereabouts information to be provided for the purposes of Out-of-Competition Testing; and

27.3.3. any samples collected pursuant to Paragraph 27.3.2 show no evidence of the presence or use of a prohibited substance or prohibited method listed as prohibited in Schedule (G)1.

PART 3 – OWNERS

28. Interpretation of Part 3

28.1. For the purposes of Chapters 2 and 3

Disqualified Person means a person who is for the time being a disqualified person pursuant to

28.1.1. these Rules, and
28.1.2. any Rules of Racing previously in force.

28.2. In this Part, references to the ownership of a horse are to its legal ownership.

28.3. No entry may be made in the register under this Part in respect of any equitable interest in the ownership of a horse.

29. Scope of Chapter 2

29.1. This Chapter provides for the registration of the names of the following as owners of any horse trained in the Channel Islands

29.1.1. a Sole Owner, or
29.1.2. a Company.

29.2. For the purposes of Paragraph 29.1.2

29.2.1. Company means a body incorporated with limited or unlimited liability under a statutory enactment and includes a body incorporated outside the Channel Islands;
29.2.2. Director, in relation to a body corporate whose affairs are managed by its members, means a member of the body corporate.

In this Part, references to a Person include a partnership or unincorporated association of persons.

30. Making an application to register under Chapter 2

30.1. An application received by the Authority in respect of the ownership of a horse falling within the scope of Rule 30.1 shall be made in accordance with the provisions of this Chapter, and such of the following provisions as apply to the applicant in question:

30.1.1. Rule 31 applies to an application made by a Sole Owner, and
30.1.2. Rule 34 applies to an application made by a Company.

30.2. The Authority may approve the application if it considers it appropriate to do so.

30.3. An application for registration in the register of Owners under this Chapter

30.3.1. must be made using the Prescribed form, and
30.3.2. must be sent to the Authority's Office, together with the appropriate fee specified in Schedule (A)1.

30.4. Procedures for the consideration and determination of applications are set out in Schedule (A)6.

30.5. Different forms may be Prescribed for applications for registration of different categories of owner.

30.6. In determining whether to approve an application, the Authority may have regard to such matters as it considers appropriate, including (but not limited to) those specified in relation to each description of applicant.

30.7. Where the Authority approves an application

30.7.1. it shall enter the Owner's name in the register, and
30.7.2. the entry becomes effective from the beginning of the day following the day of approval unless directed otherwise by the Authority.

30.8. In approving an application, the Authority may impose such restrictions or conditions as it considers appropriate for or in connection with the approval (for example, by requiring the provision of guarantees or other security by any director or other officer of a body corporate).

31. Registration of Sole Owners

31.1. A sole Owner is an individual who has an interest in all or part of a horse.

31.2. The registration of a Sole Owner in the register of Owners must be made in accordance with Rules 31 to 33.

31.3. An application for entry in the register of Owners as a Sole Owner

31.3.1. must contain all information required by the Prescribed Form, and
31.3.2. must be accompanied, if required by the Authority, by a copy of any document requested by the Authority.

31.4. In relation to applications made in accordance with these provisions, the Authority

31.4.1. must refuse to approve the application if the individual is a Disqualified Person, and
31.4.2. may refuse to approve the application in such other circumstances as it considers appropriate.

31.5. Where the Authority approves the application:

31.5.1. it may register the Sole Owner subject to such restrictions or conditions as it considers appropriate, and

31.5.2. the registration shall become effective from the beginning of the day following the day of approval, unless the Authority directs otherwise.

32. Name to be used when running a horse in ownership of a Sole Owner

32.1. Any horse owned by a Sole Owner must be entered and run under the Sole Owner's name except where the Authority has registered a person's screen, stage or pen name on the grounds that the person is better known publicly by an assumed name than by his real name.

32.2. The personal representative of an individual who is deceased may run the horse solely under the following description unless he has been notified by the Authority that he is required to make an application under this Part to register his own name in the register of Owners

Executor(s) (or Administrator(s) or Personal Representative) of insert name of the deceased Person.

32.3. A horse which is entered or run in a race using a name that is otherwise than in accordance with this Rule is liable to be disqualified under Rule (A)66 (see Ground 6 of that Rule).

33. Cancellation of registration of individual registered as a Sole Owner

33.1. This Rule applies to an individual whose name is entered in the register of Owners as a Sole Owner of a horse.

33.2. A Sole Owner's registration is void if, when his application for registration was approved by the Authority

33.2.1. he was a Disqualified Person, or

33.2.2. he has been declared *én desastre* or a bankruptcy order had been made against him.

33.3. A Sole Owner's registration shall immediately cease to be valid if, at any time after the approval of his application for registration

33.3.1. he is declared to be a Disqualified Person,

33.3.2. he has been declared *én desastre* or a bankruptcy order had been made against him;

33.3.3. he does not have a horse returned as being in training under these Rules for 24 months, or

33.3.4. the Authority gives a direction under Paragraph 33.4.

33.4. The Authority may at any time direct that a Sole Owner's registration shall cease to be valid in such other circumstances as it considers appropriate.

33.5. Where a Sole Owner's registration is void or ceases to be valid under this Rule the Authority shall remove his name from the register.

33.6. If a Person's name is removed from the register on the ground that the Sole Owner was a Disqualified Person or was declared *én desastre* or subject to a bankruptcy order, the Authority may not approve an application to restore his registration until

33.6.1. three months have elapsed from the date on which the Sole Owner ceased to be disqualified or bankrupt, unless

33.6.2. the Authority is satisfied that the circumstances of the disqualification or bankruptcy were outside his reasonable control.

34. Registration of Companies

34.1. The registration of a Company in the register of Owners must be made in accordance with Rules 34 to 45.

34.2. A Company must be registered with the Authority if the horse owned by that Company is to be entered or run in a race under these Rules.

34.3. An application for entry in the register of Owners made by a Company must

34.3.1. contain all information required by the Prescribed form, and

34.3.2. be accompanied by such other details as the Authority may require.

34.4. Where an application is made in accordance with Rule 30 and this Rule, the Authority

34.4.1. must refuse to approve the application if it appears to it that any of the directors or secretary (or joint secretary) of the company is a Disqualified Person, and

34.4.2. may refuse to approve the application in such other circumstances as it considers appropriate.

34.5. Where the Authority approves the registration application:

34.5.1. it may register the Company subject to such restrictions or conditions as it considers appropriate, and

34.5.2. the registration shall become effective from the beginning of the day following the day of approval, unless the Authority directs otherwise.

35. Recognised Company and Owner of horse

35.1. A Company which is for the time being registered under Rule 34 is referred to in these Rules as a Recognised Company.

35.2. In relation to any horse in the ownership of a Recognised Company, references to the horse's owner are to the company acting through an agent who is appointed and registered in accordance with Rule 36.

36. Recognised company to act through registered agent

36.1. For the purposes of these Rules, a Recognised Company may not take any steps in respect of a horse in its ownership unless

36.1.1. the Recognised Company has appointed one or more agents to exercise the powers of owner on its behalf (the Registered Agent),
36.1.2. at least one such agent has been approved by the Authority, and
36.1.3. the name of the agent and the particulars of the horse are registered with the Authority.

36.2. In particular

36.2.1. any entry of a horse must be made by a Registered Agent, and
36.2.2. a horse may not fulfil any engagement at any time when the Recognised Company has no Registered Agent.

36.3. Where an agent is appointed for the purposes of Paragraph 36, the appointment must be made using the Prescribed form and executed on behalf of the Recognised Company, by a director of the company.

36.4. The Authority may refuse to approve an agent under Paragraph 36

36.4.1. if the agent's appointment has not been made in accordance with Paragraph 36.3,
36.4.2. if the agent is a Disqualified Person, or
36.4.3. in such other circumstances as the Authority considers appropriate.

36.5. No agent will be registered under Paragraph 36 unless the Authority has received the appropriate fee specified in Schedule (A)1.

36.6. Unless registration of an agent is renewed by the Authority on receipt of payment of the appropriate fee, the registration expires at the end of each 12 month period that starts on the anniversary of the initial registration.

37. Name to be used when running a horse in ownership of a Recognised Company

37.1. Any horse in the ownership of a Recognised Company must be entered and run in any race using the name of the Recognised Company.

37.2. A horse which is entered or run using any other name is liable to be disqualified under Rule (A)66 (see Ground 6 of that Rule).

38. Duty to notify changes as to Company Directors or secretary

38.1. A Recognised Company must ensure that the Authority is notified of

38.1.1. the name and contact details of any new Director of the Company,
38.1.2. any changes to the details of the other Directors, an
38.1.3. the appointment of a new secretary.

38.2. 44.2 Notice under Paragraph 38.1.1 must be sent no later than 21 days after the appointment of the new Director.

38.3. Notice under Paragraph 38.1.2 or 38.1.3 must be sent as soon as reasonably possible after the occurrence of the event to which it relates.

39. Cancellation of registration of a Recognised Company

39.1. The registration of a Recognised Company shall immediately cease to be valid if

39.1.1. a Director of the Company becomes a Disqualified Person,
39.1.2. an officer of the company fails to provide within a reasonable time any information required by the Authority, or
39.1.3. the Authority gives a direction under Paragraph 39.2.

39.2. The Authority may at any time direct that the registration of a Recognised Company shall cease to be valid

39.2.1. if a Registered Agent of the company is or becomes a Disqualified Person,
39.2.2. if the company makes any assignment for the benefit of creditors or makes any composition with creditors,
39.2.3. if any action or proceedings under insolvency or bankruptcy law is taken against the company,
39.2.4. if the company is the subject of a voluntary or compulsory liquidation (other than for the purpose of reconstruction or amalgamation)
39.2.5. in such other circumstances as the Authority considers appropriate.

40. Cancellation of registration of a registered agent

40.1. The registration of an agent appointed by the company shall immediately cease to be valid if
40.1.1. the registration of the company ceases to be valid under Rule 39.1,
40.1.2. the agent is or becomes a Disqualified Person,

40.1.3. the agent is declared *én desastre* or becomes subject to a bankruptcy order,
40.1.4. the payment of the annual fee required by Rule 36.5 is in arrears by more than 14 days, or
40.1.5. the Authority gives a direction under Paragraph 40.2.

40.2. The Authority may at any time direct that the registration of an agent shall cease to be valid

40.2.1. if it is requested to do so on behalf of the Recognised Company by a director of the company; or
40.2.2. in such other circumstances as it considers appropriate.

41. Scope of Chapter 3

41.1. This Chapter provides for registration of the following arrangements as to the ownership and management of any horse trained in the Channel Islands

41.1.1. a Partnership,
41.1.2. a Syndicate,
41.1.3. a Racing Club,
41.1.4. a lease that applies to a horse for a single race, or
41.1.5. any other lease or shared arrangement for the running of a horse.

42. Registration of a Partnership

42.1. Where a horse has two or more legal owners the horse may be registered as a Partnership.

42.2. The Partnership will consist of a number of Partners who each shall be one or more of the following:

42.2.1. Sole Owner;
42.2.2. a Recognised Company,
42.2.3. a Syndicate, or
42.2.4. a Racing Club.

42.3. In relation to any horse in the ownership of a Partnership, references to the horse's Owner are to the Partners.

42.4. A Partnership must be registered with the Authority if the horse owned by that Partnership is to be entered or run in a race under these Rules.

43. Conditions for initial registration

43.1. The registration of a Partnership in the register of Owners must be made in accordance with Rules 42 to 46.

43.2. No Partnership may be registered unless:

43.2.1. the application is made using the Prescribed form and is sent to the Authority's Office,
43.2.2. each of the Partners is registered in his own right in accordance with Rule 42.2,
43.2.3. the application specifies the Partnership name under which the horse is to run, and
43.2.4. the appropriate fee for initial registration specified in Schedule (A)1 has been received by the Authority.

43.3. Where an application is made in accordance with Rules 42 and 43, the Authority

43.3.1. must refuse to approve the application if it appears to it that any of the Partners is a Disqualified Person, and
43.3.2. may refuse to approve the application in such other circumstances as it considers appropriate.

43.4. Where the Authority approves the registration application:

43.4.1. it may register the Partnership subject to such restrictions or conditions as it considers appropriate; and
43.4.2. the registration shall become effective from the beginning of the day following the day of approval, unless the Authority directs otherwise.

44. Name to be used when running a horse subject to a Partnership

44.1. Any horse in the ownership of a Partnership must be entered and run in any race using the name of the Partnership that was specified for the horse in the application for registration under Rule 43.

44.2. A horse which is entered or run using any other name is liable to be disqualified under Rule (A)66 (see Ground 6 of that Rule).

44.3. The Partnership name may be changed only with the approval of the Authority on payment of the appropriate fee specified in Schedule (A)1.

45. Owner of horse and obligations of Partners

45.1. In relation to any horse subject to a Partnership, references to the horse's owner are to each Partner.

45.2. A Partner may assign his share in a horse (in whole or in part) subject to obtaining the consent of all other Partners and on payment of the appropriate fee specified in Schedule (A)1.

45.3. The Partners of a horse subject to the Partnership shall be jointly and severally liable for any sum due under these Rules as a result of any

engagement for the horse that is made before a notice of termination is received by the Authority under Rule 47.1.1.

46. Notification of Information

46.1. In respect of a Partnership, the Authority must be notified of:

46.1.1. any changes to the composition of a Partnership;
46.1.2. the relative proportions of each Partner's ownership interest in any horse subject to the Partnership; and
46.1.3. such other details as the Authority may request.

46.2. Information required by Rule 46.1 must be provided to the Authority no later than 21 days after the change or request occurs.

47. Cancellation of registration of a Partnership

47.1. The registration of a Partnership shall immediately cease to be valid if

47.1.1. any Partner or his Authorised Agent gives notice to the Authority of the termination of the agreement for Partnership, or
47.1.2. the Authority gives a direction under Paragraph 47.2.

47.2. The Authority may at any time direct that the registration of a Partnership shall immediately cease to be valid

47.2.1. if any persons listed in Rule 42.2 ceases to be registered with the Authority, or
47.2.2. in such other circumstances as it considers appropriate.

48. Registration of Syndicates

48.1. Where a horse has two or more legal owners the horse may be registered under a Syndicate.

48.2. The registration of a Syndicate in the register of Owners must be made in accordance with Rule 48.

48.3. A Syndicate must be registered with the Authority if the horse owned by that Syndicate is to be entered or run in a race under these Rules.

48.4. Each Syndicate must appoint at least one Person to manage, administer or promote a Syndicate (the Syndicator).

48.5. The Syndicator must be registered as an Owner with the Authority in his own right.

48.6. The registration application submitted by the Syndicate must be made to, and be approved by the Authority, and such application must:

48.6.1. be submitted to the Authority, together with the appropriate fee as specified in Schedule (A)1;
48.6.2. be made using the Prescribed form; and
48.6.3. provide such other details as the Authority may require.

48.7. In respect of a Syndicate, the Authority must also be notified of:

48.7.1. the resignation of a Syndicator;
48.7.2. the appointment of a new Syndicator;
48.7.3. any changes to the composition of the participants in the Syndicate;
48.7.4. any change to the horses owned by the Syndicate;
48.7.5. any change to the names and contact details of all participants in the Syndicate (to include a Person with any legal interest in the horse) as the Authority may require; and
48.7.6. such other details as the Authority may request.

48.8. Information required by Rule 48.7 must be provided to the Authority no later than 21 days after the resignation, appointment, change or request occurs.

48.9. The Authority may refuse to approve the registration application where:

48.9.1. the Syndicator(s) does not hold an existing registration with the Authority pursuant to Rule 48.5 above; or
48.9.2. in such other circumstances as it considers appropriate.

48.10. Where the Authority approves the registration application:

48.10.1. it may register the Syndicate subject to any restrictions or conditions it considers necessary; and
48.10.2. the registration shall become effective from the beginning of the day following the day of approval, unless the Authority directs otherwise.

49. Syndicate to act through Syndicator

49.1. Each Syndicator shall be the Owner of the horse and shall, subject to Rule 49.2:

49.1.1. be jointly and severally liable for any sums due from the Owner under these Rules; and
49.1.2. be subject to all other liabilities, duties and privileges of ownership.

49.2. A Syndicator who has resigned in accordance with Rule 48.7.1:

49.2.1. shall be liable for any sums which accrued or became due before notice of his resignation has been received by the Authority; but

49.2.2. shall not be liable for any sums which become due after notice of his resignation has been received by the Authority.

49.3. No privilege of ownership shall attach to any participants in a Syndicate who is not a Syndicator, except for the privilege of running a horse under the name of a Syndicate.

49.4. For the purposes of these Rules, a Syndicate may not take any steps in respect of a horse in its ownership unless they are taken by one or more Syndicators.

50. Name to be used when running a horse subject to a Syndicate

50.1. A horse registered under a Syndicate must be entered and run in any race using the name of the Syndicate specified in the application for registration under Rule 48.

50.2. A horse which is entered or run using any other name is liable to be disqualified under Rule (A)66 (see Ground 6 of that Rule).

50.3. The Syndicate name may be changed only with the approval of the Authority on payment of the appropriate fee specified in Schedule (A)1.

51. Cancellation of registration of a Syndicate

51.1. The Authority may at any time direct that the registration of a Syndicate shall cease to be valid:

51.1.1. where at any time there is fewer than one Syndicator;

51.1.2. where any of the members in the Syndicate is or becomes a Disqualified Person;

51.1.3. where a Syndicator fails to provide within a reasonable time any information required by the Authority;

51.1.4. if it appears to the Authority that any of the members in the Syndicate has ceased to maintain an interest in each horse in the ownership of the Syndicate;

51.1.5. if any action, proceedings or arrangement under any insolvency or bankruptcy law is taken by or against any Syndicator;

51.1.6. if the Authority considers that appropriate financial arrangements are not in place for the purposes of securing payment of all fees and the receipt of all payments which are due from the owner in connection with racing;

51.1.7. where a Syndicator fails to adhere to the Code of Conduct (as defined in Rule 52); or

51.1.8. in such other circumstances as the Authority considers appropriate.

52. Code of Conduct

52.1. Where, in respect of a Syndicate:

52.1.1. the arrangement is managed, administered or promoted by a Syndicator who receives payment, in relation to their role, and/or
52.1.2. the method(s) of attracting participation in the arrangement include invitations to the public, whether by way of advertisement or otherwise,

the Syndicator must adhere to code of conduct at Schedule 5 (the Code of Conduct).

53. Registration of Racing Clubs

53.1. Where a horse's legal owner invites participation from other individuals but retains the legal ownership interest to form an ownership entity, the horse may be registered under a Racing Club.

53.2. The registration of a Racing Club in the register of Owners must be made in accordance with Rule 53.

53.3. A Racing Club must be registered with the Authority if a horse owned by that Racing Club is to be entered or run in a race under these Rules.

53.4. Each Racing Club must appoint at least one Person to manage or administer a Racing Club (the Club Manager).

53.5. The Club Manager must be registered as an Owner with the Authority in his own right.

53.6. The registration application submitted by the Club Manager(s) on behalf of the Racing Club must be made to, and be approved by the Authority, and such application must:

53.6.1. be submitted to the Authority, together with the appropriate fee as specified in Schedule A(1);
53.6.2. be made using the Prescribed Form;
53.6.3. provide such other details as the Authority may require.

53.7. In respect of a Racing Club, the Authority must also be notified of:

53.7.1. the resignation of a Club Manager;
53.7.2. the appointment of a new Club Manager;
53.7.3. at the request of the Authority, a list of all Persons who are (or were) members of the Racing Club as at such date as the Authority may request;
53.7.4. any change to the list of horses to run under the Racing Club;

53.7.5. any Racing Club member who is or becomes a Disqualified Person; and
53.7.6. such other details as the Authority may request.

53.8. Information required by Rule 53.7 must be provided to the Authority no later than 21 days after the resignation, appointment, change or request occurs.

53.9. The Authority may refuse to approve the registration application where:

53.9.1. one or more of the Club Managers does not hold an existing registration with the Authority pursuant to Rule 53.5 above; o
53.9.2. in such other circumstances as it considers appropriate.

53.10. Where the Authority approves the registration application:

53.10.1. it may register the Racing Club subject to such restrictions or conditions as it considers appropriate; and
53.10.2. the registration shall become effective from the beginning of the day following the day of approval, unless the Authority directs otherwise.

54. Racing Club to act through Club Manager

54.1. In relation to any horse in the ownership of a Racing Club:

54.1.1. references to the horse's owner are to the Club Manager(s);
54.1.2. if there is more than one Club Manager, each Club Manager:

54.1.2.1. shall be treated as if he were the Owner(s);
54.1.2.2. shall, subject to Rule 54.2, be jointly and severally liable for any sums due from the Owner under these Rules; and
54.1.2.3. Club Managers shall be subject to all other liabilities, duties and privileges of ownership.

54.2. A Club Manager who has resigned in accordance with Rule 53.7.1:

54.2.1. shall be liable for any sums which accrued or became due before notice of his resignation has been received by the Authority; but
54.2.2. shall not be liable for any sums which become due after notice of his resignation has been received by the Authority.

54.3. No privilege of ownership shall attach to any participants in a Racing Club who is not a Club Manager, except for the privilege of running a horse under the name of a Racing Club.

54.4. For the purposes of these Rules, a Racing Club may not take any steps in respect of a horse in its ownership unless they are taken by one or more Club Manager.

55. Name to be used when running a horse subject to a Racing Club

55.1. Any horse registered under a Racing Club must be entered and run in any race using the name of the Racing Club specified in the application for registration under Rule 53.

55.2. A horse which is entered or run using any other name is liable to be disqualified under Rule (A)66 (see Ground 6 of that Rule).

55.3. The Racing Club name may be changed only with the approval of the Authority on payment of the appropriate fee specified in Schedule (A)1.

56. Cancellation of registration of a Racing Club

56.1. The Authority may at any time direct that the registration of a Racing Club shall cease to be valid if:

56.1.1. at any time there is fewer than one Club Manager;
56.1.2. any of the members of the Racing Club is or becomes a Disqualified Person;
56.1.3. a Club Manager fails to provide within a reasonable time any information required by the Authority; or
56.1.4. in such other circumstances as the Authority considers appropriate.

57. Registration of single race leasing arrangement

57.1. Where a horse is leased to a Person for one race only

57.1.1. the leasing arrangement must be registered if the horse is to be entered or run in that race, and
57.1.2. the registration must take place on or before the day which precedes that on which the horse is declared to run in the race under Part (F)6.

57.2. No single race leasing arrangement may be registered unless it is approved by the Authority.

57.3. An application for registration under this Rule must be

57.3.1. made using the Prescribed form, and
57.3.2. sent to the Authority, together with the appropriate fee specified in Schedule (A)1.

57.4. In determining whether to approve an application, the Authority may have regard to such matters as it may consider appropriate.

57.5. If it decides to approve the application

57.5.1. the Authority may register the single race leasing arrangement subject to such restrictions or conditions as it considers appropriate, and

57.5.2. the registration shall become effective from the beginning of the day following the day of approval.

57.6. In relation to any horse subject to a single race leasing arrangement, references in these Rules to the horse's owner are to the lessee or lessees under the arrangement.

58. Registration of leasing and other joint arrangements not within Rule 42, 48, 53 or 57

58.1. This Rule applies where a horse is subject to a lease or other arrangement which does not fall within the preceding provisions of this Chapter.

58.2. Any such lease or arrangement must be registered if the horse is to be entered or run in a race under these Rules.

58.3. Registration under this Rule extends only to the horse in question (and, if there is more than one horse, separate registration is required for each horse).

58.4. The registration takes effect immediately once all of the conditions for initial registration specified in Rule 59 have been met, but this is subject to the requirement to renew registration under Rule 60 and to any cancellation of registration under Rule 63.

58.5. Where a horse

58.5.1. is entered for a race before it becomes subject to a lease or other arrangement within Paragraph 58.1, and

58.5.2. runs in that race before the lease or other arrangement is registered,

the horse is liable to be disqualified under Rule (A)66 (see Ground 7).

58.6. The Authority may publish such information as it considers appropriate in respect of any lease or other arrangement registered under this Rule.

59. Conditions for initial registration

59.1. No lease or other arrangement falling within Rule 58.1 may be registered unless the following conditions have been met in relation to it

59.1.1. not more than twelve Persons are to run the horse under the lease or other arrangement,

59.1.2. each of those Persons is registered in his own right under Chapter 2 as

59.1.2.1. a registered individual, or

59.1.2.2. a Recognised Company.

59.2. the appropriate fee for initial registration specified in Schedule (A)1 is sent to the Authority's Office, and

59.3. a copy of the terms of the lease or other arrangement, which is signed by all the parties, is delivered to Authority.

60. Expiry and renewal of registration of lease or other arrangement

60.1. Any registration under Rule 58.1 expires at the end of each 12 month period that starts on the anniversary of the initial registration.

60.2. The Authority may, on an application made to it, renew the registration but only if

60.2.1. it is satisfied that the requirements of Rule 59.1.1 and 59.1.2 continue to be met in relation to the lease or other arrangement, and

60.2.2. it receives payment of the appropriate fee specified in Schedule (A)1.

61. Owner of horse and obligations of parties to lease or other arrangement

61.1. In relation to any horse subject to a lease or other arrangement registered under Rule 58, references in these Rules to the horse's owner are to the lessee or lessees.

61.2. For the purposes of these Rules, the Person who grants the lease or other arrangement in respect of a horse shall be regarded as having no interest in the horse unless he receives a share of the prize money won by the horse or contributes to the expense of running it.

62. Name to be used when running a horse subject to a lease or other arrangement

62.1. A horse subject to a lease or other arrangement registered under Rule 58 must be entered and run in any race using the name of any Person listed in Rule 59.1.2.

62.2. A horse which is entered or run in a race using any other name is liable to be disqualified under Rule (A)66 (see Ground 6 of that Rule).

63. Cancellation of a lease or other arrangement

63.1. Registration under Rule 58 shall immediately cease to be valid if

63.1.1. any of the Persons listed in Rule 59.1.2 ceases to be registered in his own right under Chapter 2, or
63.1.2. the Authority gives a direction under Paragraph 63.2.

63.2. The Authority may at any time direct that the registration of lease or other arrangement shall cease to be valid

63.2.1. if the Authority considers that appropriate financial arrangements are not in place for the purposes of securing payment of all fees and the receipt of all payments which are due from the owner in connection with racing, or
63.2.2. 80.2.2 in such other circumstances as it considers appropriate.

64. Application of Chapter 4

64.1. This Chapter applies to any Person under whose name a horse is to run in a race under these Rules.

65. Racing colours

65.1. Subject to Paragraphs 65.2 and 65.3 and Rule 66

65.1.1. the Owner of a horse must register colours for racing with the Authority, and
65.1.2. the horse must carry those colours in any race under these Rules.

65.2. A lessee under a single race leasing arrangement may, if he has no colours registered in his name

65.2.1. register colours for the day of the race only, or
65.2.2. race the horse in colours already registered to another Person if he has previously obtained that Person's written permission to do so.

65.3. Where the Owner of a horse trained outside the Channel Islands has colours registered for him by a Recognised Racing Authority, the horse may carry those colours if

65.3.1. a declaration of the colours is made to the Authority in respect of each race, and
65.3.2. that declaration is made by the time determined for the closing of entries under Part (F)5.

65.4. An Owner who has more than one set of registered colours must inform the Authority which colours are nominated as his First Colours.

65.5. The Authority may direct that the registration of an Owner's racing colours shall cease to be valid in such circumstances as it considers appropriate.

65.6. An Owner whose horse is declared to run under Rule (F)50 without registering colours in accordance with this Rule shall be taken to have contravened a requirement imposed on him by this Rule.

65.7. Where a horse runs in colours other than those permitted by this Rule, the Trainer of the horse shall also be taken to have contravened a requirement imposed on him by this Rule unless he or the Owner can satisfy the Authority

65.7.1. that the circumstances of the failure to carry the registered colours fall within Rule 66, or
65.7.2. that the failure was due to circumstances outside their reasonable control,

and, where the Trainer does satisfy the Authority that the failure was the fault of the Owner, Disciplinary Action may be taken against the Owner.

65.8. The Authority may decide not to take Disciplinary Action against an Owner for contravention of any of the requirements of this Rule if the owner satisfies the Authority that the circumstances in which the contravention occurred are acceptable.

66. Circumstances in which use of other racing colours is required

66.1. An Owner must declare alternative colours if asked to do so by the Authority or the Judge.

66.2. In deciding which Owner must declare alternative colours the Authority or the Judge

66.2.1. may take into account the length of time the colours have been registered to the Owner, giving preference to the longer-established registration, but
66.2.2. has absolute discretion over which colours are required to be changed.

66.3. Where

66.3.1. an Owner has more than one horse running in the same race, or
66.3.2. an Owner's horse will not be carrying his First Colours in a race,

the Owner or the Trainer must declare alternative colours to the Authority by 1.00p.m. on the day for making declarations to run under Rule (F)50.

66.4. The alternative colours referred to in Paragraph 66.3.1 must, as a minimum, have a different coloured cap which

66.4.1. is not black, and
66.4.2. does not contain a colour which appears in the cap of the declared colours for any of that Owner's other horses in the race, except where that colour features only in a star or a diamond.

66.5. If the Owner or the Trainer fails to make the declaration required by Paragraph 66.3 he must declare alternative colours to the Clerk of the Scales before the rider weighs out.

66.6. Unless the change is at the Judge's request on the racecourse, the alternative colours must not be already registered to another Owner unless permission has been obtained.

66.7. Where no declaration is made pursuant to Paragraph 66.3 above, or where a horse runs in colours other than those declared under Paragraph 66.3, Disciplinary Action may be taken against the Trainer or, where the Trainer satisfies the Authority that the fault was that of the Owner, against the Owner.

67. Circumstances in which joint-use of racing colours is permitted

67.1. Where racing colours are already registered to an Owner, a horse may also carry those colours whilst running under the name of another Owner if

67.1.1. the joint use of colours has been authorised in any of the circumstances specified in Paragraph 67.2, 67.3 or 67.4, and
67.1.2. the particulars of joint use have been registered with the Authority.

67.2. Where racing colours are registered in the name of an individual (B), B may authorise joint use of them by

67.2.1. a Recognised Company, where the horse is owned by the company and B is a Registered Agent for the company,
67.2.2. a Racing Club, where the horse is owned by the club and B is a Club Manager,
67.2.3. a Syndicate, where the horse is subject to the Syndicate and B is a Syndicator or a member in the Syndicate,
67.2.4. a Partnership where the horse is subject to the Partnership and B is a Partner, or
67.2.5. a lease or other arrangement registered under Rule 58, where the horse is subject to the lease or arrangement and B runs the horse under it.

67.3. Where racing colours are registered in the name of a Recognised Company (C), a Registered Agent of C may authorise joint use of them by

67.3.1. a Syndicate, where the horse is subject to the Syndicate and C is a Syndicator or a member in the Syndicate, and
67.3.2. a Partnership where the horse is subject to the Partnership and C is a Partner, or
67.3.3. a lease or other arrangement registered under Rule 58, where the horse is subject to the lease or arrangement and C runs the horse under it.

67.4. The Authority may, on an application to it, permit the joint use of racing colours

67.4.1. in such circumstances as it may consider appropriate, and
67.4.2. subject to such restrictions or conditions as it may specify.

67.5. For the purposes of Paragraph 67.2 or 67.3

67.5.1. any authorisation by B, or by C's Registered Agent, must be in writing, signed by him and sent to the Authority's Office, and
67.5.2. any Owner making joint use of colours already registered to another must take any necessary steps to register new racing colours in the event that the joint use ceases to be authorised.

68. Application to the Authority to register racing colours

68.1. An application for the registration of racing colours under Rule 66.1 must be

68.1.1. made using the Prescribed form,
68.1.2. sent to the Authority's Office, and
68.1.3. accompanied by the appropriate fee specified in Schedule (A)1.

68.2. If it considers it appropriate to do so, the Authority may waive some or all of the instructions contained in the Prescribed form in respect of the Owner of a horse whose colours are already registered with a Recognised Racing Authority.

68.3. The Authority may determine

68.3.1. the colour shades and combinations that are available for registration,
68.3.2. the features that sufficiently distinguish one set of racing colours from a similar set so as to allow both to be registered,
68.3.3. the circumstances in which re-registration and transfers of racing colours may be permitted, and
68.3.4. all disputes as to the rights to particular colours.

68.4. The Authority may

68.4.1. register an Owner's racing colours for a period of 1 year or for such other period as the Authority may determine, and
68.4.2. may renew such registration on payment of the appropriate fee specified in Schedule (A)1.

68.5. A transfer of colours will be permitted upon payment of the relevant fee as set out in Schedule (A)1. This fee is not applicable where the transfer is made to

68.5.1. a spouse,
68.5.2. a parent,
68.5.3. a child,
68.5.4. siblings
68.5.5. civil partners,
68.5.6. the executors or the administrators of such persons, or
68.5.7. if the transferor, or in the case of a deceased transferor the heir to his racing interests,

68.6. At the Authority's discretion, colours may also be transferred between a Registered Owner and

68.6.1. a Racing Club registered under Rule 53,
68.6.2. a Recognised Company registered under Rule 34,
68.6.3. a Partnership registered under Rule 42, or
68.6.4. a Syndicate registered under Rule 48.

provided the Registered Owner is a Club Manager, Registered Agent, Partner or Syndicator as the case may be of the ownership category in question or vice versa.

68.7. Colours may only be transferred back to the original registrant.

69. Application of Chapter 5

69.1. In this Chapter

69.1.1. all the Rules apply to Persons registered in the register of Owners under Chapter 2 or 3, and
69.1.2. in addition, Rule 75 also applies to any other Owner under whose name a horse is to run in a race under these Rules.

70. Information about horses of ambiguous sex

70.1. An Owner must, as soon as possible, notify the Authority when a horse in his ownership is found to be of Ambiguous Sex.

70.2. A **Horse of Ambiguous Sex** means a horse whose visible sexual characteristics are contradicted by its genetic make-up/internal organs.

71. Dealings with licensed trainers

71.1. Schedule 3 requires Owners whose horses are trained by a Licensed Trainer to enter into an agreement with the trainer and makes provision as to the terms of such agreements and their enforcement.

71.2. Licensed Trainer means a Person who holds a trainer's licence granted by the Authority.

72. Owners giving instructions to riders

72.1. Any Owner or Registered Agent of a Recognised Company who gives instructions to the rider of his horse must comply with Rule (C)38 (securing the best possible placing) and is liable to disciplinary action in the circumstances set out in Rule (C)38.4.

73. Restriction on owners compensating riders

73.1. An Owner must not

73.1.1. give to the Rider of his horse any material reward, gift, favour or benefit in kind in recognition of the consequence to that Rider of any Disciplinary Action taken against him, or

73.1.2. instruct another person to do so on his behalf.

74. Authorised agents of an Owner

74.1. This Rule

74.1.1. applies to any Owner whose name is registered in the register of Owners, but

74.1.2. does not apply to any Recognised Company.

74.2. A Person is authorised to act on behalf of the Owner for the purposes of these Rules only if

74.2.1. he is appointed as an Authorised Agent by a document which is in the Prescribed form and signed by the appointor,

74.2.2. the document has been sent to the Authority's Office and is for the time being registered in the register of Authorised Agents, and

74.2.3. the appropriate fee specified in Schedule (A)1 is paid

74.2.3.1. for the initial registration of the document, and

74.2.3.2. at the end of each 12 month period that starts on the anniversary of the initial registration.

74.3. Where a horse is sold with engagements to another Person, any agent of the seller appointed in accordance with this Rule shall be regarded as the Authorised Agent of the purchaser but only in respect of entries which have already been made, but which are not closed, at the time of sale.

74.4. Registration of an agent appointed in accordance with this Rule shall immediately cease to be valid if

74.4.1.1. the agent's appointment is cancelled by him or by the owner,

74.4.1.2. the payment of the annual fee is in arrears by more than 14 days, or

74.4.1.3. the Authority gives a direction under Paragraph 74.6.

74.5. The Authority may, in such circumstances as it considers appropriate, direct that the registration of the document appointing the agent in accordance with this Rule shall cease to be valid.

75. Owner's sponsorship agreements

75.1. On an application made to it under this Rule and in accordance with the Code of Conduct, the Authority may approve and register an Owner's sponsorship agreement in such circumstances, and subject to such restrictions or conditions as it considers appropriate.

75.2. An Owner may apply for approval by sending a copy of the agreement together with the application fee specified in Schedule (A)1.

75.3. If the Authority decides to approve the application it may register the sponsorship agreement subject to such restrictions or conditions, and for such period, as it considers appropriate.

PART 4 – SUPPLEMENTARY

76. Notices, directions and other documents

76.1. Unless the context otherwise requires, Rules (A)91 (the giving of notices etc), (A)92 (the giving of notices etc in electronic form) and (A)93 (deemed service of documents) have effect in relation to any notices, directions or documents which are authorised or required to be given or sent under the provisions.

77. Computation of time

77.1. Except where these Rules provide otherwise, when the specified period for doing any act at the Authority's Office ends on a day on which the office is closed, that act will be done in time if done by 5.00pm on the next day on which the Authority's Office is open.

78. Arrangement of Rules into Manuals

78.1. These Rules are made up of the following Manuals

78.1.1. The General Manual (A)
78.1.2. The Race Manual (B)
78.1.3. The Trainer Manual (C)
78.1.4. The Rider Manual (D)
78.1.5. The Horse and Owner Manual (E)
78.1.6. The Race Administration Manual (F)

78.2. Each Manual is of equal standing and the fact that these Rules are divided into Manuals does not affect the weight of any requirements specified in each Manual.

79. Meaning of Owner

79.1. In relation to a horse, references in this Manual to the owner

79.1.1. in relation to a horse in the ownership of a Sole Owner, are to the individual,
79.1.2. in relation to a horse in the ownership of a Recognised Company, are to the company,
79.1.3. in relation to a horse in the ownership of a Syndicate, are to each Syndicator,
79.1.4. in relation to a horse subject to a Partnership, are to each Partner,
79.1.5. in relation to a horse in the ownership of a Racing Club, are to each Club Manager,
79.1.6. in relation to a horse subject to any leasing or other arrangement which does not fall within the provisions of Paragraphs 79.1.1 to 96.1.5, are to the lessee or lessees under the arrangement,

and any such reference to an Owner also includes a part-owner.

79.2. In this Manual

Club Manager means the individual appointed in accordance with Rule 53;
Partner means an individual or entity whose name is registered in the register of Owners in accordance with Rule 42;
Partnership means an arrangement through which a horse is registered in accordance with Rule 42;
Racing Club means an arrangement through which a horse is registered in accordance with Rule 53;
Recognised Company means a company which is for the time being registered in the register of Owners under Rules 34 to 40;
Registered Agent, in relation to such a company, means an agent of the company registered under Rule 36;
Sole Owner means an individual whose name is registered in the register of Owners in accordance with Rule 31;
Syndicate means an arrangement through which a horse is registered in accordance with Rule 48;
Syndicator means the individual appointed in accordance with Rule 48.

80. Interpretation: general

80.1. Definitions of

80.1.1. terms used in only one Rule are set out in that Rule,
80.1.2. terms used in only Part 2 or 3 of the Manual are set out at the beginning of that Part, and
80.1.3. terms used in more than one Part of the Manual are set out in Rule 79 and in the following provisions of this Rule.

80.2. In this Manual, unless the context otherwise requires

Authorised agent
80.2.1. in the case of a recognised company, means the registered agent of the company under Rule 36;
80.2.2. otherwise, means any person authorised by the Authority to act as agent or sub-agent for another person in exercise of the Authority's powers under Rule (A)84;
the Authority means the Channel Islands Horseracing Authority;
Authority-approved Laboratory means one of the laboratories listed in the list of Authority-approved laboratories in Schedule (G)5 as it may be updated by the Authority from time to time;
the Authority's Office means the office for the time being appointed by the Authority as the office of the Channel Islands Horseracing Authority (the present address is Apartment 1, Sable Argente Apartments, La Route De St. Aubin, St. Helier, Jersey JE2 3SF);
Disciplinary Action means any action taken by the Authority Part (A)6 or by the Stewards under Part (B)1;

the Forfeit List means the list maintained by the Authority under Rule (A)79;
Person includes a body corporate;
Prescribed means prescribed by the Authority;
Recognised Racing Authority means a racing authority of a country which is for the time being recognised by the Authority under Rule (A)83;
these Rules means all the Rules of Racing, including provisions which are contained in any other Manual;
Trainer means any person who holds a licence to train which is granted by the Authority or whose authorisation by a Recognised Racing Authority corresponds to such a licence (which includes a permit); and
Working Day means Monday to Friday between the hours of 9am and 5pm and excludes Saturday, Sunday and any Bank Holidays.

80.3. Words importing the masculine gender include the feminine.

80.4. The singular includes the plural (and vice versa).

Schedule 1 – Registration of horse names

1. Registration of horse names

1.1. The following names are not available for registration for a horse

1.1.1. subject to Paragraph 3, a name already registered in the register of horse names under Part 2;
1.1.2. subject to Paragraph 4, a name followed by one or more numbers;
1.1.3. a name on the International and Domestic Lists of Protected Names;
1.1.4. a name of more than 18 characters, including signs or spaces;
1.1.5. a name made up entirely of initials, or include figures, hyphens, full-stops, commas, signs, exclamation marks, inverted commas, forward or backward slash, colon and semi-colon;
1.1.6. a name that starts with a sign other than a letter;
1.1.7. a name containing more than seven syllables;
1.1.8. a name the pronunciation of which the Authority considers to be identical or unacceptably similar, to
1.1.8.1. a name that is on the International or Domestic Lists of Protected Names, or
1.1.8.2. a name that is already registered for a horse foaled in a year that is within 10 years of the year of foaling of the horse to which the application relates;

1.1.9. a name which the Authority considers
1.1.9.1. is suggestive or has a vulgar, obscene or insulting meaning,
1.1.9.2. is in poor taste,
1.1.9.3. may be offensive to religious, political or ethnic groups,
1.1.9.4. may otherwise cause offence,
1.1.9.5. may cause confusion in the administration of racing or betting, or
1.1.9.6. is the name of a well-known horse.

1.1.10. a name already registered to a sibling or parent of the horse in question.

1.2. For the purposes of Paragraph 1.1, the use of the definite or indefinite article does not constitute a different name.

1.3. The following names are available for registration for a horse if the conditions specified are met

1.3.1. the name of a public Person may be registered if the Person or his family have given permission;

1.3.2. a name which the Authority considers to be of commercial significance may be registered if appropriate permission has been obtained;

1.3.3. a name which the Authority considers to be that of a prominent company, product or trade name may be registered if

1.3.3.1. the written approval of the company or body associated with the name has been obtained, or

1.3.3.2. the Authority is satisfied that the name has an alternative meaning in common usage.

1.4. A name which has already been entered in the register of horse names shall become available for registration for a different horse at the end of the month of the relevant year during which it became available, as determined in accordance with Paragraphs 1.5 to 1.7.

1.5. Where the name was registered to a brood mare, the relevant year is whichever of the following first occurs

1.5.1. years after the mare's death,

1.5.2. 10 years after the last recorded year in which the mare was covered or produced a foal, or

1.5.3. when the mare attains 30 years of age.

1.6. Where the name was registered to a stallion, the relevant year is whichever of the following first occurs

1.6.1. 15 years after the stallion's death,

1.6.2. 15 years after the last recorded year in which the stallion covered one or more mares, or

1.6.3. the year when the stallion attains 35 years of age.

1.7. Where the name was registered to any other horse, the relevant year is whichever of the following first occurs

1.7.1. 5 years after the horse's death, or

1.7.2. the year when the horse attains 20 years of age.

1.8. An exception may be made where the name of a horse which has been reported dead and has not raced is sought for re-use by the same applicant.

1.9. Where a name is not available for a horse, a numeral may only be added to the name if

1.9.1. the horse was foaled in Great Britain, Ireland or the Channel Islands, and

1.9.2. it is not in the Stud Book maintained by the Stud Book Authority of Great Britain and Ireland.

1.10. If the same name is simultaneously claimed for two horses, the order of priority shall be determined by lot at the Authority.

Schedule 2 – Countries with approved stud books

Argentina	Kenya	Trindad and Tobago
Australia	Korea	Tunisia
Austria	Lebanon	Turkey
Azerbaijan	Lithuania	Ukraine
Bahrain	Malaysia	United Arab Emirates
Barbados	Mexico	United States of America and Canada and Puerto Rico
Belgium and Luxembourg	Morocco	Uruguay
Brazil	Netherlands	Uzbekistan
Bulgaria	New Zealand	Venezuela
Chile	Norway	
China	Oman	
Colombia	Panama	
Croatia	Paraguay	
Cyprus	Peru	
Czech Republic	Philippines	
Denmark	Poland	
Dominican Republic	Qatar	
Ecuador	Romania	
Finland	Russia	
France	Saudi Arabia	
Germany	Serbia, Bosnia & Herzegovina	
Great Britain and Ireland	Slovakia	
Greece	Slovenia	
Hungary	South Africa & Zimbabwe	
India	Spain	
Italy	Sweden	
Jamaica	Switzerland	
Japan	Syria	

Schedule 3 – Trainer-owner agreements

1. Requirement for agreement

1.1. This Schedule applies to the owner of any horse which is trained by the Licensed Trainer.

1.2. Where a Licensed Trainer is to train a horse owned by any Person, he and the owner must enter into a training agreement in respect of the horse before it runs in any race run under these Rules.

1.3. The Authority may determine not to take Disciplinary Action against any owner who fails to comply with Paragraph 1.1 if he can satisfy the Authority that there was an acceptable reason for the failure.

2. Form of agreement

2.1. A training agreement must be signed and must set out the terms agreed between the parties in respect of at least the following

- 2.1.1. the basic training fee (expressed as an amount payable by week or by calendar month);
- 2.1.2. all other regular expenses (such as gallop fees, shoeing costs and the like);
- 2.1.3. the extent of the Licensed Trainer's authority to incur any additional charges or expenses for the account of the owner (such as veterinary fees);
- 2.1.4. provision for variation to be made as to any of the matters specified in Paragraphs 2.1.1 to 2.3.1 and for proper notification of variation;
- 2.1.5. the time and method of payment by the owner;
- 2.1.6. any provision for a Trainer's lien;
- 2.1.7. any matter as to training agreements which is required by a code of conduct issued under Rule 52; and
- 2.1.8. such other matters as the Authority may from time to time direct.

3. Duration of agreement

3.1. A training agreement shall lapse if

- 3.1.1. the owner does not have a horse in training with the Licensed Trainer for a continuous period of 24 months,
- 3.1.2. the Trainer has ceased to hold a licence granted by the Authority, or
- 3.1.3. either party to the agreement gives the other written notice of termination.

4. Report by licensed trainer of non-payment of fees etc

4.1. Payment period, in relation to any account due, means the period of 3 months beginning with the date on which the account was sent.

4.2. Where, before the end of the payment period, a Licensed Trainer does not receive from the owner full settlement of any account due under a training agreement entered into in accordance with this Schedule, the Licensed Trainer may report the matter to the Authority.

5. Consequences of non-payment

5.1. Where the Authority sends a notice to the owner that a report of non-payment has been made by the trainer, the owner must

5.1.1. make the payment due, or
5.1.2. provide to the Authority a written explanation for non-payment that is acceptable to the Authority,

before the end of the period of 8 days starting on the date the notice was received.

5.2. If the owner fails to comply with Paragraph 6.1

5.2.1. the total amount due (and any VAT payable) shall be taken to be arrears due under these Rules, and
5.2.2. the name of the owner will be added to the Forfeit List.

5.3. Paragraph 5.2 of this Schedule takes effect from the end of the period of 30 days starting with the date of the Authority's notice under Paragraph 5.1.

Schedule 4 - Registration of Ownership Names' and Styles

1. Registration of Ownership Names' and Styles

1.1. Every Owner or ownership group must register a unique name style to assist in the administration of racing. It is this name that will be used when entries and lists of runners are published.

1.2. The following names that apply to all categories of ownership in Chapters 2 and 3 are not available for registration:

1.2.1. names already on the register, compiled and maintained by the Authority;
1.2.2. names of more than 40 characters, including signs or spaces; or
1.2.3. names of which the Authority considers are too similar to a name on the register.

1.3. The following restriction applies to Sole Owners as provided for in Rule 32:

1.3.1. names of which the Authority are satisfied that the person concerned is better known publically by their assumed, screen, stage or pen name than their real name.

1.4. The following restrictions apply to companies, Syndicates, Racing Clubs and Partnerships as provided for in Chapters 2 and 3:

1.4.1. a name which the Authority considers

1.4.1.1. is suggestive or has a vulgar, obscene or insulting meaning;
1.4.1.2. may be offensive to religions, political or ethnic groups;
1.4.1.3. is in poor taste;
1.4.1.4. to be inappropriate use of names made up of internet site addresses;
1.4.1.5. may otherwise cause offence;
1.4.1.6. may cause confusion in the administration of racing or betting; or
1.4.1.7. is the name of a prominent Company, Product or Trade except where registered for that Company or Body Association.

1.5. The Authority may refuse to accept or cancel any registration as provided for in Rule (A)21.

Schedule 5 - Syndicate Code of Conduct

1. Code of Conduct

1.1. In accordance with Rule 52, this Code of Conduct applies to all ownership arrangements registered as a Syndicate where

1.1.1. the arrangement is managed, administered or promoted by a Syndicator who receives payment, in relation to their role, and/or

1.1.2. the method(s) of attracting participation in the arrangement include invitations to the public, whether by way of advertisement or otherwise.

1.2. The Syndicator must ensure that at the time of commencement of the Syndicate and throughout its duration, there is a written contract between the Syndicator and the participants which addresses and identifies, but may not be limited to, the following matters:

1.2.1. the express acknowledgement from the participant/s that membership of the Syndicate may not necessarily provide a financial return;

1.2.2. the intended duration of the Syndicate, the mechanism for its termination and the methods and conditions (if any) by which participants may leave the Syndicate other than on final termination;

1.2.3. whether the horse(s) is wholly owned by the participants or whether the horse is subject to a lease or other arrangement;

1.2.4. the number of horses involved in the Syndicate, the proportionate interest in the Syndicate of each participant and their rights of (or lack of) to sell, lease, transfer or assign their share/s;

1.2.5. the cost of participation for each participant and whether such cost is fixed or susceptible to alteration;

1.2.6. the details and/or means of calculating and paying any remuneration to be received by the Syndicator and any third parties;

1.2.7. a full financial statement for the Syndicate and the process by which any financial surplus or deficit will be credited or charged to the participants in the Syndicate;

1.2.8. the time at which and procedure by which the statement(s) of the Syndicate's costs recovered and expenditure will be presented to the participants. Such statement(s) shall be exclusive or inclusive of GST as appropriate depending upon the GST status of the Syndicate;

1.2.9. whether and in respect of which risks the horse(s) will be insured and whether the participants of the Syndicate are the beneficiaries;

1.2.10. whether the horse(s) will be initially trained by a named trainer; and

1.2.11. the process by which the Syndicate makes any decisions relating to the management, training, and time and method of sale of the horse(s).

1.3. This Code of Conduct does not purport to dictate the terms of the matters set out at Paragraph 1.2, only that they are matters that are to be agreed at the outset of a Syndicate. Each contract between the Syndicator and the participants in that Syndicate must contain materially identical terms on the matters set out in Paragraph 1.2.

1.4. Each participant in the Syndicate should be aware that they are bound by the Rules of Racing. Particular attention should be drawn to those Rules prohibiting the sharing of Inside Information (Rule (A)29).

Note:
In all cases it is the responsibility of the participant in the Syndicate on complaint of a failure to comply with this Code of Conduct to provide to the Authority the evidence which the Authority considers necessary to support that allegation.

Index of defined Expressions

1. This index provides a guide to words or expressions which are defined generally and so liable to be met in provisions which do not themselves contain the definition.

2. Where a term is used only in one Rule (or in a series of closely connected Rules), the definition will be set out in that Rule. Always check the Rule itself before looking at this index.

3. Where a term is used in more than one place, it will start with a capital letter. The second column of this index tells you where you can find the substantive definition.

4. This index is not part of these Rules.

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